

# A Dual Assimilation System for Satellite Altimetry

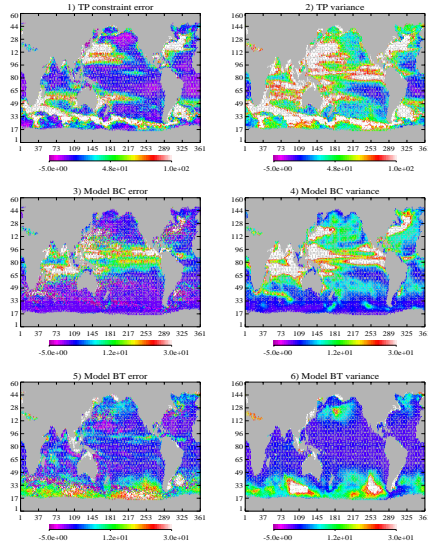
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## ABSTRACT

Progress has been made in implementing a complimentary, dual data assimilation system for estimating the time-evolving ocean circulation. The system consists of a "cellular" Kalman filter that provides error estimates and a means for routine analyses, and the adjoint method that is used for parameter estimation and rigorous reanalyses. The model is based on the MIT GCM and is global with a reasonably high resolution ( $\Delta z=10m, \Delta y=1/3^\circ; 360 \times 224 \times 46$ ) and advanced mixing schemes (GM & KPP). TOPEX/POSEIDON data are assimilated together with Levitus climatology and NCEP flux estimates.

## PRIOR ERROR ESTIMATION

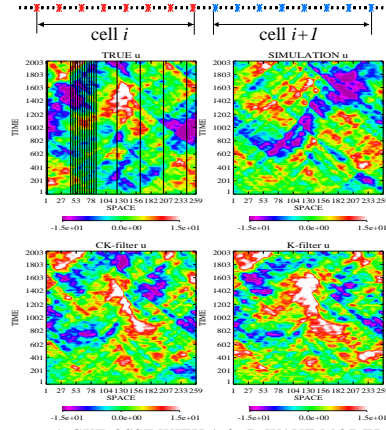
Statistics of prior data and model errors (R, P) may be estimated by comparing observations (D) and their model simulation (M);  
 $\langle DD \rangle = \langle SS \rangle + 2 \langle SR \rangle + \langle RR \rangle$   
 $\langle MM \rangle = \langle SS \rangle + 2 \langle SP \rangle + \langle PP \rangle$   
 $\langle DM \rangle = \langle SS \rangle + \langle SP \rangle + \langle RS \rangle + \langle RP \rangle$   
 Data constraint error, R, may be uncorrelated over a short distance in space and/or time (e.g., meso-scale eddies in a non-eddy resolving model). Then, the lagged covariances above may be used to estimate  $\langle PP \rangle$  and, then in turn, the zero lagged  $\langle RR \rangle$ .



**PRIOR SEA LEVEL ERROR ESTIMATES**  
 Error estimates (left column) are compared with variances of TOPEX/POSEIDON and model (right column). The error estimates are based on spatial lag covariance along T/P ground tracks at 1.5 times the model resolution. Units in  $cm^2$ .

## "CELLULAR" KALMAN FILTER

Certain model errors are approximately uncorrelated from others; e.g., Small-scale errors are independent over large distances. Such near independent errors can efficiently be estimated over a large domain by combining multiple overlapping regional (and/or physical) filters. Example: 1-d non-rotating shallow water model.

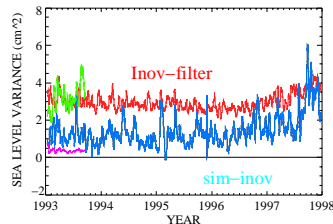


## A CKF OSSE WITH A 1-D WAVE MODEL

Time-space diagrams of velocity; true solution, false solution, Cellular filter, and Kalman filter. Assimilated data are sea level at limited station locations indicated by vertical lines in the true solution.

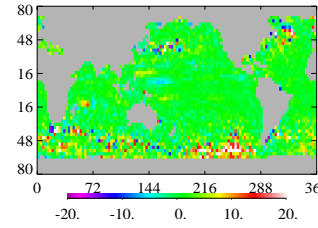
## ASSIMILATION OF T/P BY KALMAN FILTERS

A global barotropic filter and a regional first baroclinic mode filter are constructed to establish a baseline estimate of the wind-driven circulation of the tropical Pacific Ocean.



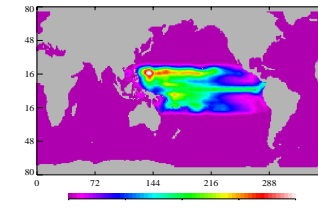
## SKILL OF THE FILTERS

Difference in residual variance among simulation, filter prediction (innovation), and filtered estimate. Positive values indicate skill of the filter. The barotropic filter is based on a global coarse grid ( $6^\circ \times 6^\circ, 966$  points, 3 variables).



## SKILL OF THE BAROTROPIC FILTER

Difference in variance of simulation residual and innovation. Positive values indicate skill of the filter. Units in  $cm^2$ .

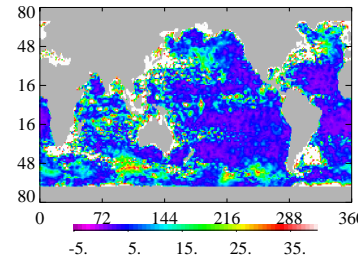


## BAROCLINIC SIMULATION ERROR ESTIMATE

Model process noise is modeled as wind error. The baroclinic filter consists of amplitudes of the first baroclinic mode defined on a coarse grid spanning the tropical Pacific Ocean ( $10^\circ \times 5^\circ, 20^\circ S-20^\circ N, 132$  points, 3 variables). Sea level, units in  $cm^2$ .

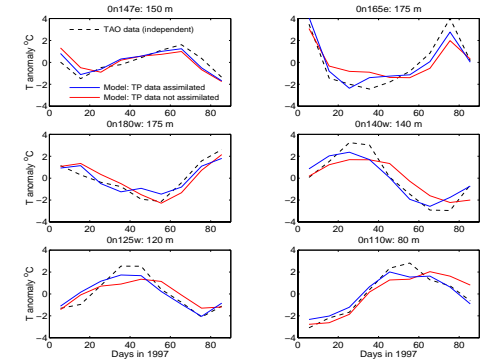
## ASSIMILATION BY ADJOINT METHOD

T/P data are assimilated simultaneously with Levitus climatology and NCEP surface flux estimates. A time-hierarchical approach is taken whereby a longer assimilation is initialized by results from a shorter optimization. Results are shown for a three-month (Jan-March, 1997) optimization.

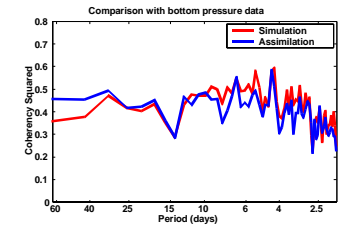


## REDUCTION OF MODEL-T/P DIFFERENCE

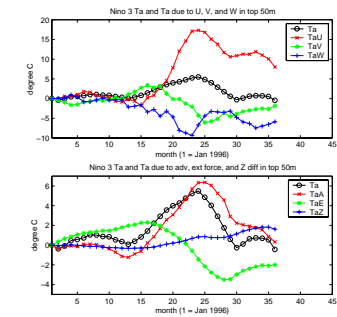
The adjustment of initial state plays a much more important role than that of surface forcing during this short period of assimilation. Units in  $cm^2$ .



**COMPARISONS WITH SUBSURFACE TEMPERATURE**  
 Assimilation of T/P (adjoint) leads to an overall improvement of thermocline temperature variations when compared with independent TAO data.



**COMPARISONS WITH BOTTOM PRESSURE GAUGES**  
 Assimilation of T/P (BT K-filter) improves bottom pressure at periods longer than 20-days. (Average of 17 gauge records.)



## NATURE OF NINO3 TEMPERATURE CHANGE

Analysis of model simulation shows Nino3 temperature change (Ta) is primarily due to zonal advection (TaU).

