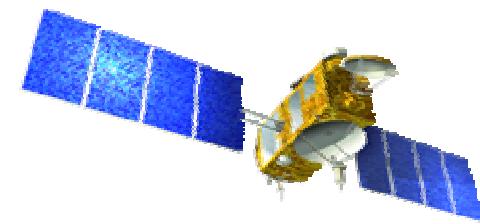


New Retracking Algorithm Using a Second Order Waveforms Model

Pierre THIBAUT, Laiba AMAROUCHE and Ouan Zan ZANIFE



INTRODUCTION



Objective :

The ground segment has to take into account problems of mispointing of the Jason-1 platform

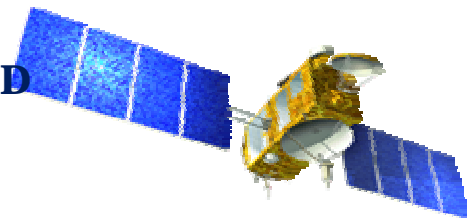
The aim of this study is to propose a new formulation of the echo model to be able to estimate the altimetric parameters even when the platform is not well pointed

Summary of the presentation :

- ⇒ **Analytical formulation of the second order model**
- ⇒ **Use of this model to estimate the altimetric parameters in the case of high mispointing angles on real Poseidon-2 data**
 - ⇒ **During the first STR incident**
 - ⇒ **On a cycle of data**
- ⇒ **Conclusions**



ANALYTICAL FORMULATION OF THE SECOND ORDER MODEL

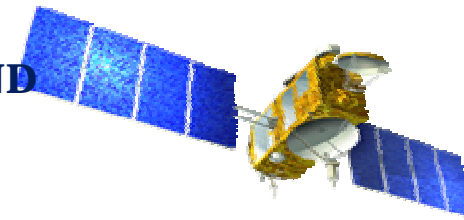


The altimetric waveform model can be written as the convolution of three terms :

- The radar response to a sea surface without waves and an infinitely short pulse : **FSSR**
- The probability density function of the wave heights : **PDF**
- The point target response of the radar : **PTR**

$$S(t) = \text{FSSR}(t) * \text{PTR}(t) * \text{PDF}(t)$$

ANALYTICAL FORMULATION OF THE SECOND ORDER MODEL



RADAR RESPONSE TO A SEA SURFACE WITHOUT WAVES AND AN ININITELY SHORT PULSE

Before simplification, the FSSR is written as follows (Brown 77) :

$$FSSR(t) = A \exp(-\delta t) U(t) \sum_{k=0}^{\infty} \frac{(-1)^k \Gamma(k+1/2)}{\sqrt{\pi} \Gamma(k+1)} \left[\frac{\gamma \beta t^{1/2}}{8 \cos^2 \xi} \right]^k I_k(\beta t^{1/2})$$

With :

$$\delta = \frac{4c}{\gamma h} \cos(2\xi)$$

$$\beta = \frac{4 \left[\frac{c}{\gamma h} \right]^2 \sin(2\xi)}$$

$$A = A_0 \exp \left[-\frac{4}{\gamma} \sin^2 \xi \right]$$

The I_k functions are the Bessel's modified functions. Brown has only kept the order 0 Bessel's functions. Upper order terms are negligible (for $\xi < 1^\circ$) because :

$$\frac{\gamma \beta t^{1/2}}{8 \cos^2 \xi} \ll 1$$

FSSR can be written as follows :

$$FSSR(t) = A \exp(-\delta t) I_0(\beta t^{1/2}) U(t)$$

$$I_0(z) = \sum_{n=0}^{\infty} \left(\frac{z^2}{4} \right)^n \left(\frac{1}{n!} \right)^2$$

With : $z = \beta t^{1/2}$

1st Order : good for $\xi < 0.4^\circ$ for gate 90

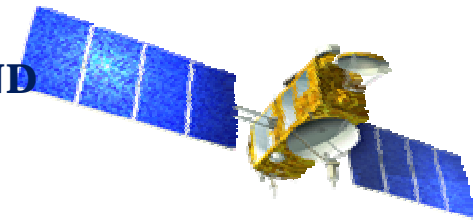
0.3° for gate 128

2nd Order : good for $\xi < 0.7^\circ$ for gate 90

0.6° for gate 128



ANALYTICAL FORMULATION OF THE SECOND ORDER MODEL



1st ORDER MODELIZATION

Using 0th and 1st order development of the Bessel 's functions and writing the result on an exponential form :

$$I_0(\beta t^{1/2}) \approx 1 + \frac{\beta^2 t}{4} \approx e^{\frac{\beta^2 t}{4}}$$

The waveform can be written simply as follows : $W(t) = A \exp(-v) [1 + \text{erf}(u)]$

With :

$$u = \frac{t - \tau - \alpha \sigma_c^2}{\sqrt{2} \sigma_c} \quad v = \alpha \left(t - \tau - \frac{\alpha}{2} \sigma_c^2 \right) \quad \alpha = \delta \frac{\beta^2}{4}$$

This exponential formulation is true for mispointing angles lower than 0.25°

2nd ORDER MODELIZATION

$$I_0(\beta t^{1/2}) \approx 2e^{\frac{\beta^2 t}{8}} - 1$$

The altimetric echo model can thus be written as follows, after convolution of the 3 terms :

$$W(t) = A \exp(-v_1) [1 + \text{erf}(u_1)] - \frac{A}{2} \exp(-v_2) [1 + \text{erf}(u_2)]$$

With :

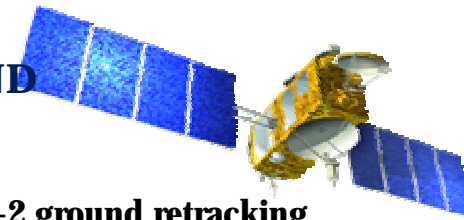
$$u_1 = \frac{t - \tau - \alpha_1 \sigma_c^2}{\sqrt{2} \sigma_c} \quad v_1 = \alpha_1 \left(t - \tau - \frac{\alpha_1}{2} \sigma_c^2 \right) \quad \alpha_1 = \delta \frac{\beta^2}{8}$$

$$u_2 = \frac{t - \tau - \alpha_2 \sigma_c^2}{\sqrt{2} \sigma_c} \quad v_2 = \alpha_2 \left(t - \tau - \frac{\alpha_2}{2} \sigma_c^2 \right) \quad \alpha_2 = \delta$$

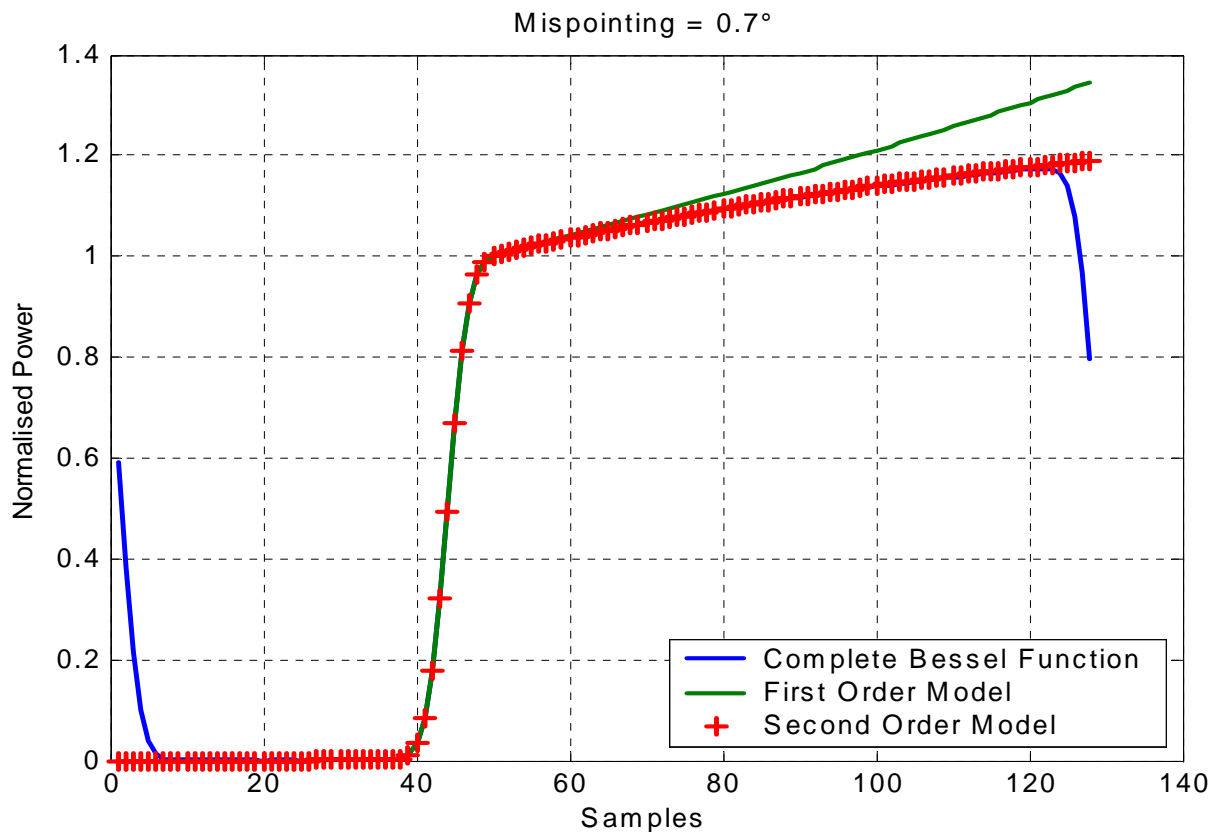
$$\delta = \frac{4c}{\gamma h} \cos(2\xi) \quad \beta = 4 \left[\frac{c}{\gamma h} \right]^{1/2} \sin(2\xi)$$



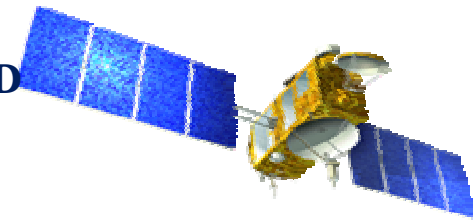
ANALYTICAL FORMULATION OF THE SECOND ORDER MODEL



Comparison of the new formulation with the expression used in the Poseidon-2 ground retracking and with the function obtained from the three terms convolution (with a complete Bessel's function)



ANALYTICAL FORMULATION OF THE SECOND ORDER MODEL



Drawback of a second order model :
impossibility to derive the mispointing angle from the slope of the trailing edge

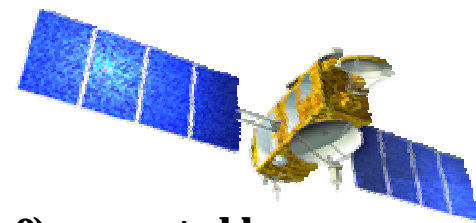
$$\xi^2 = \frac{1}{2} \frac{1 + \frac{\text{Slope}}{\alpha}}{1 + \frac{2}{\gamma}}$$

Several solutions were investigated :

- Use of a MLE2 (ξ^2 and σ_0) on the trailing edge of the echo : problems of stability
- Use of a correction table to derive the real mispointing angle from the on board mispointing angle
- Use of a MLE 4 parameters (Range, SWH, σ_0 and ξ^2)



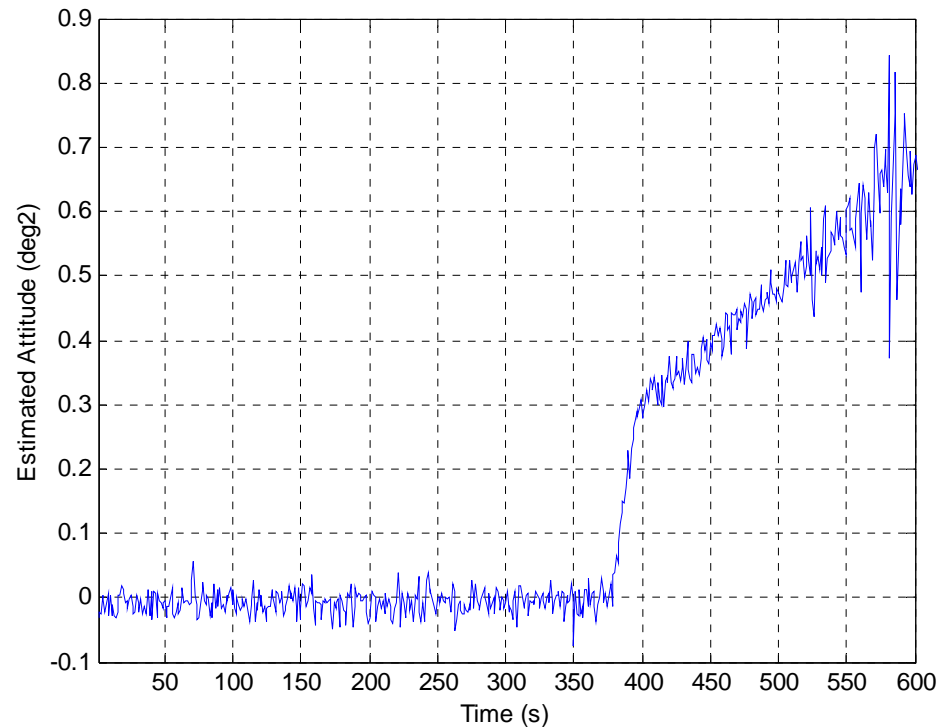
COMPARISON OF RESULTS ON REAL DATA



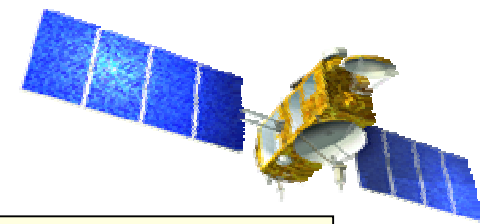
The comparison is done on the altimetric parameters (SWH,SSH and σ_0) computed by :

- CMA retracking algorithm
- MLE4 based on a second order model (SWH,range, σ_0 and mispointing angle ξ^2)
- Topex data

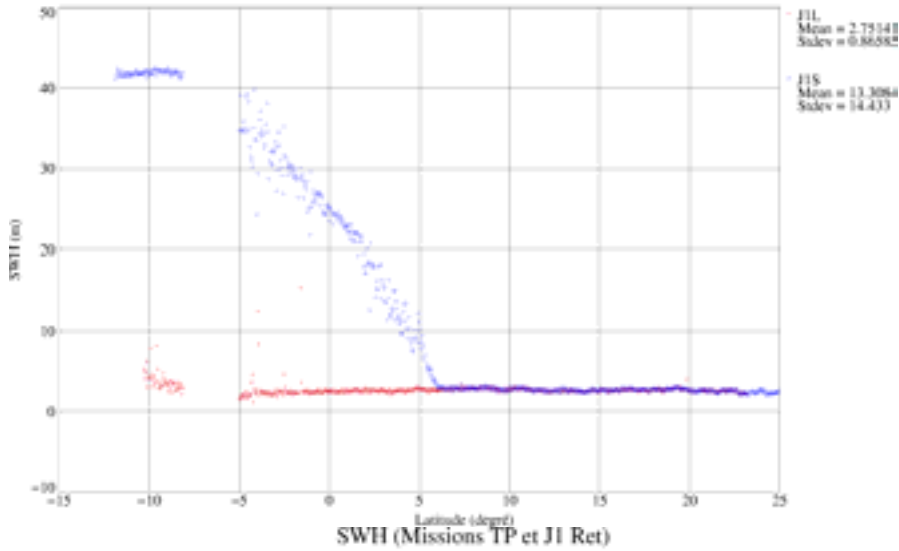
04/04/2002
First Star Tracker Incident
Cycle 008



COMPARISON OF RESULTS ON REAL DATA

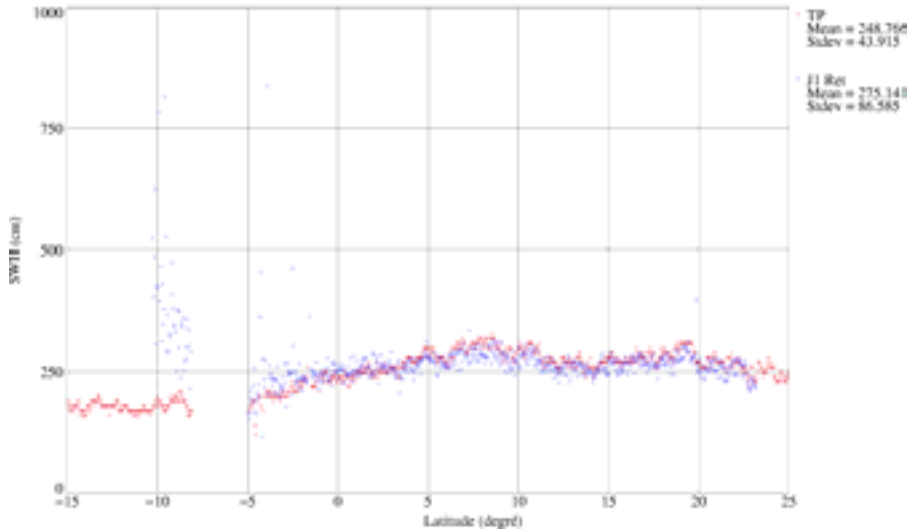


SWH (Missions J1 Ret et J1 Ref)



04/04/2002
First Star Tracker Incident

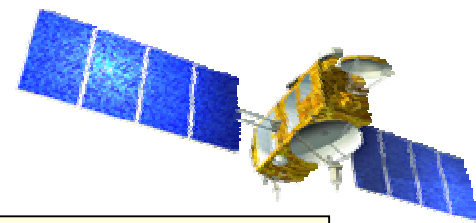
Significant Wave Height
MLE4 New Model - CMA IGDR



Significant Wave Height
TOPEX GDR - MLE4 New Model

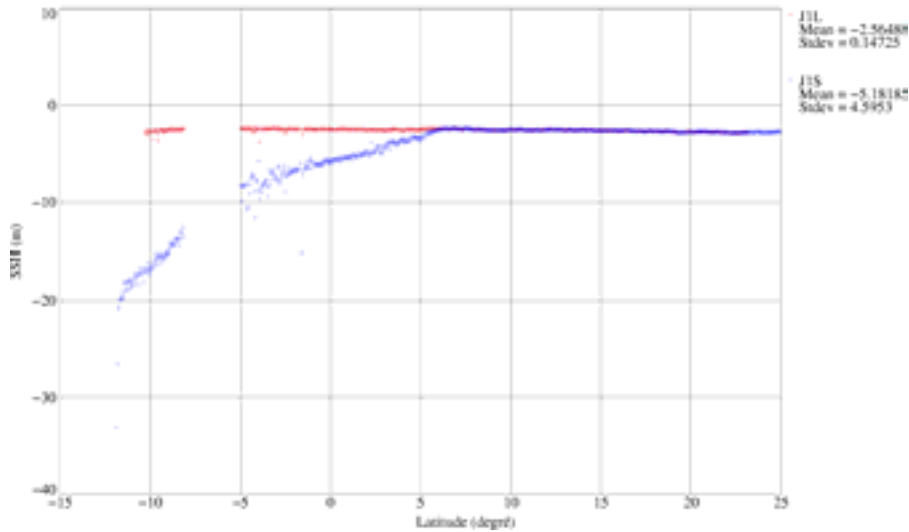


COMPARISON OF RESULTS ON REAL DATA



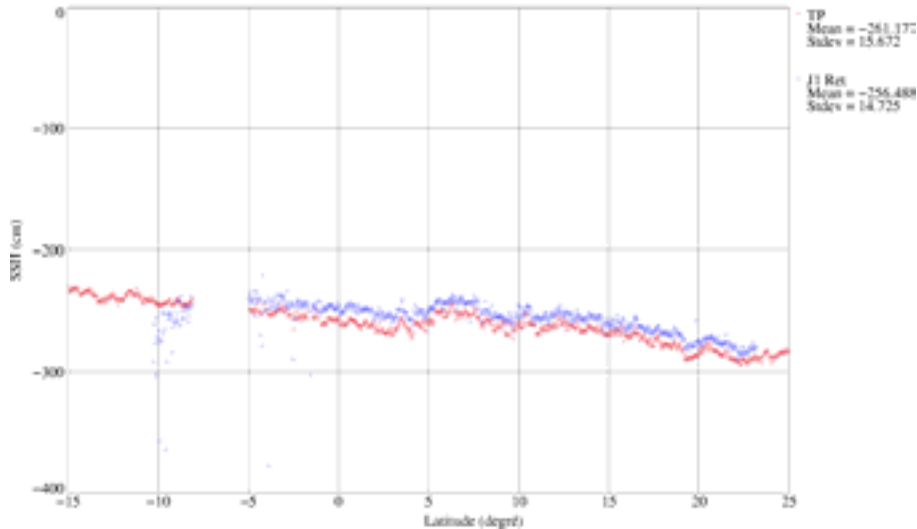
04/04/2002
First Star Tracker Incident

SSH (Missions J1 Ret et J1 Ref)



Sea Surface Height
MLE4 New Model - CMA IGDR

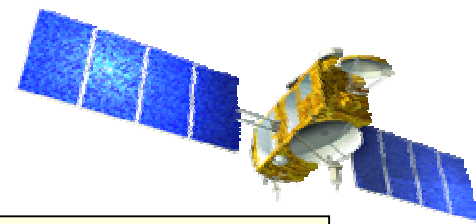
SSH (Missions TP et J1 Ret)



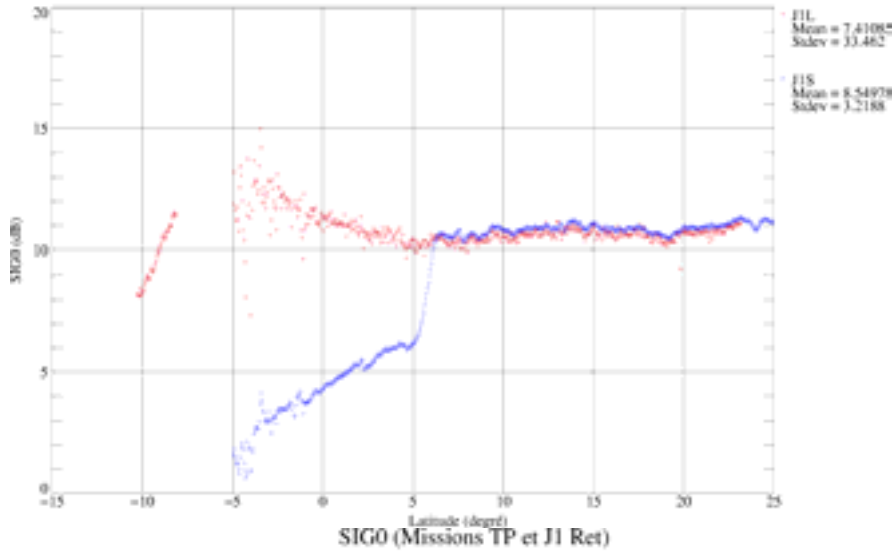
Sea Surface Height
TOPEX GDR - MLE4 New Model



COMPARISON OF RESULTS ON REAL DATA



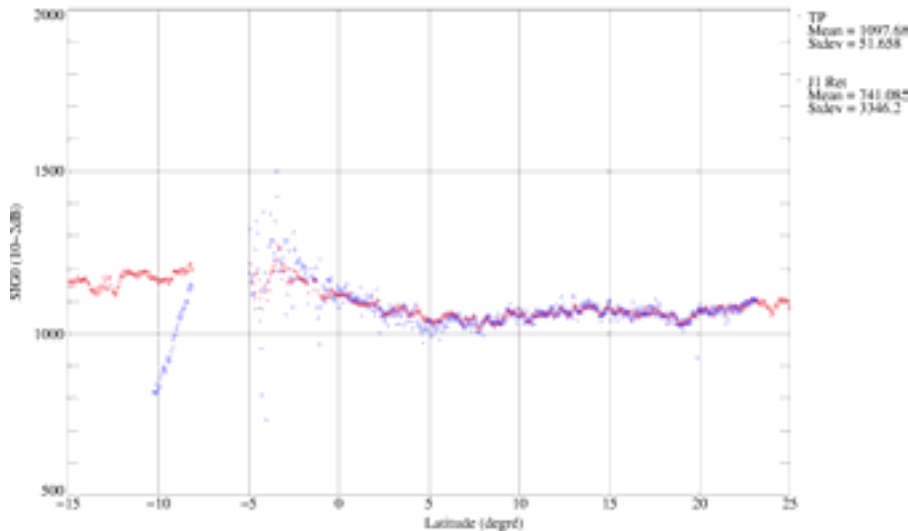
SIG0 (Missions J1 Ret et J1 Ref)



04/04/2002
First Star Tracker Incident

Sigma 0
MLE4 New Model - CMA IGDR

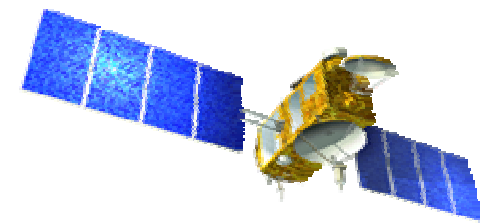
SIG0 (Missions TP et J1 Ret)



Sigma 0
TOPEX GDR - MLE4 New Model



GENERAL CALVAL RESULTS ON CYCLE 061 (using Doris ionospheric correction) (1/2)



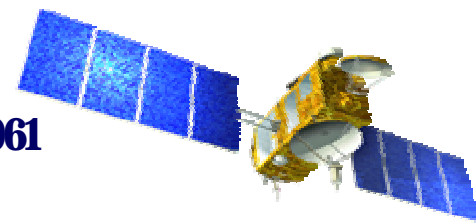
	MLE-3	MLE-4
Number of passes	253	253
Number of data	674968	674968
Number of missing data	153717	153717
Number of valid data	498594	496470
Number of edited data	176374	178498
Percentage of edited data	26.13 %	26.44 %

SSH Crossover Analysis	9.08 cm	8.86 cm
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EM Bias (% SWH)	-3.55	-3.57
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Time-Tag Bias	0.263 ms	0.256 ms
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GENERAL CALVAL RESULTS ON CYCLE 061
(using Doris ionospheric correction) (2/2)
Ku band



RMS_Range_MLE4 - RMS_Range_MLE3 = 0.6 cm

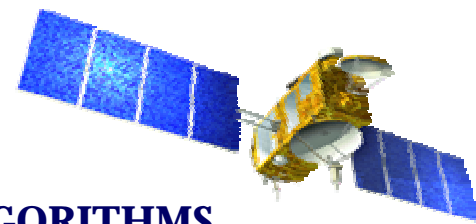
SWH_MLE4 - SWH_MLE3 = -3.8 cm

Sig0_MLE4 - Sig0_MLE3 = 0.05 dB

ξ _MLE4 - ξ _MLE3 = 0.095 deg

Number of valid points per second is equivalent

No evolution of the MQE parameter



COMPARISON OF MLE-3 and MLE-4 RETRACKING ALGORITHMS (cycle 61)

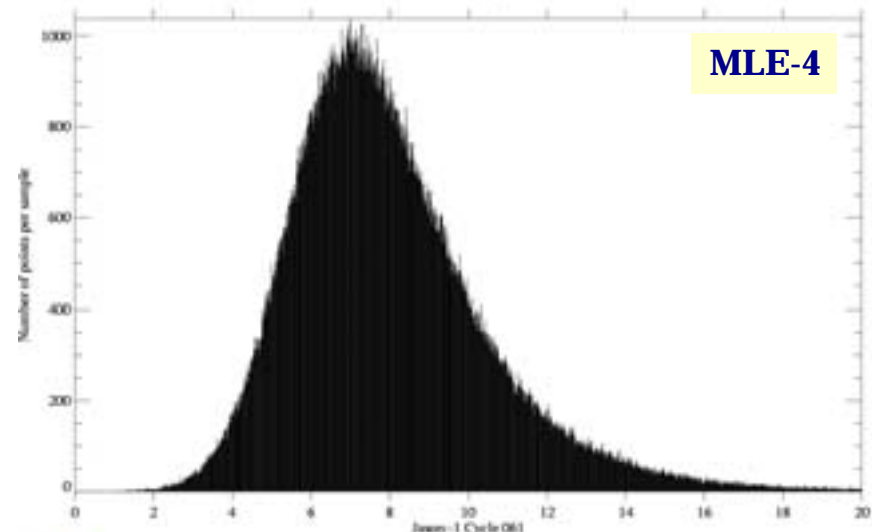
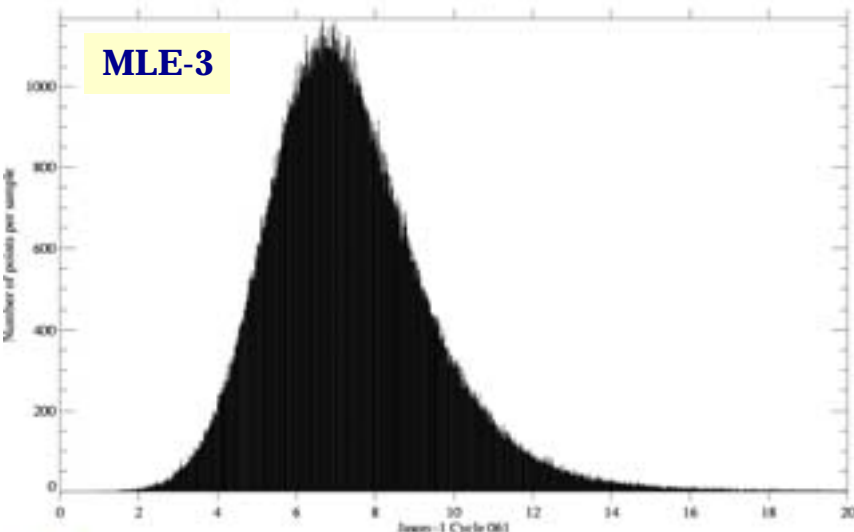
RMS of Ku-band Range

RMS of Ku-band range (unit : cm)

RMS of Ku-band range (unit : cm)

MLE-3

MLE-4

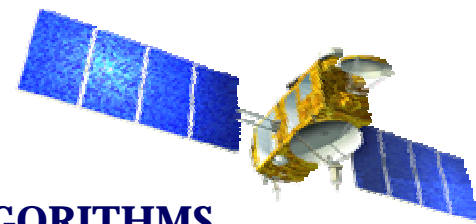


Global nb of points	: 498594	Sel. nb of points	: 498594	Sample interval	: 0.010	
Global mean	: 7.343	Selected mean	: 7.343	Maximum value	: 19.990	
Global Std	: 2.062	Selected std	: 2.062	Minimum value	: 0.900	

Global nb of points	: 496470	Sel. nb of points	: 496470	Sample interval	: 0.010	
Global mean	: 7.943	Selected mean	: 7.943	Maximum value	: 20.000	
Global Std	: 2.444	Selected std	: 2.444	Minimum value	: 1.050	

RMS_MLE4 - RMS_MLE3 = 0.6 cm





COMPARISON OF MLE-3 and MLE-4 RETRACKING ALGORITHMS (cycle 61)

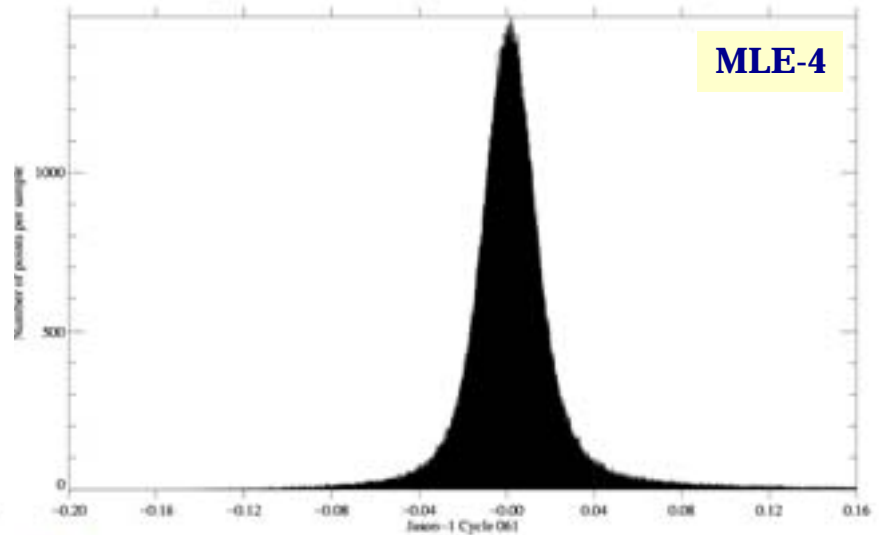
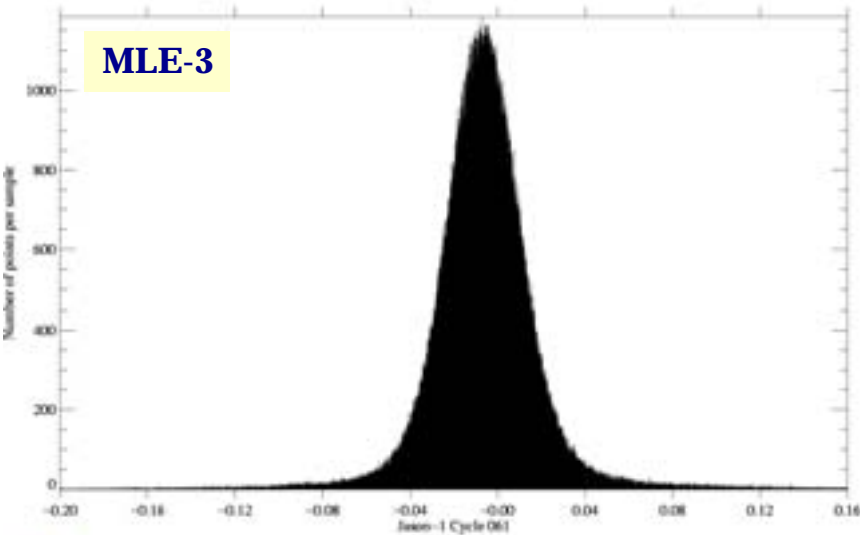
Mispointing Angle

Square of the off nadir angle from waveforms (unit : deg²)

Square of the off nadir angle from waveforms (unit : deg²)

MLE-3

MLE-4



Global nb of points :	498594	Sel. nb of points :	498594	Sample interval :	0.000
Global mean :	-0.006	Selected mean :	-0.006	Maximum value :	0.160
Global Std :	0.025	Selected std :	0.025	Minimum value :	-0.200

Global nb of points :	496470	Sel. nb of points :	496465	Sample interval :	0.000
Global mean :	0.003	Selected mean :	0.003	Maximum value :	0.160
Global Std :	0.024	Selected std :	0.024	Minimum value :	-0.174

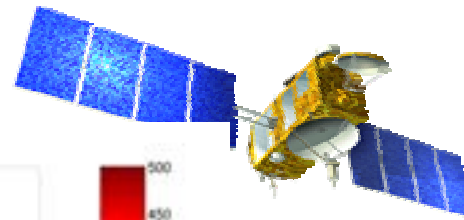
$$\xi^2_{MLE4} - \xi^2_{MLE3} = 0.009 \text{ deg}^2$$

$$\xi_{MLE4} - \xi_{MLE3} = 0.095 \text{ deg}$$



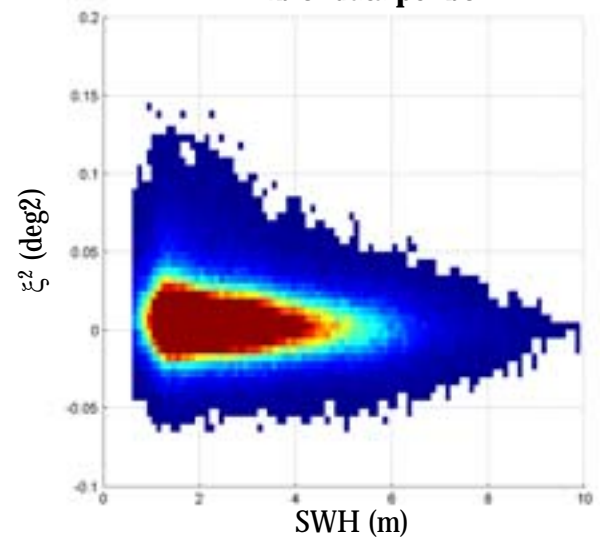
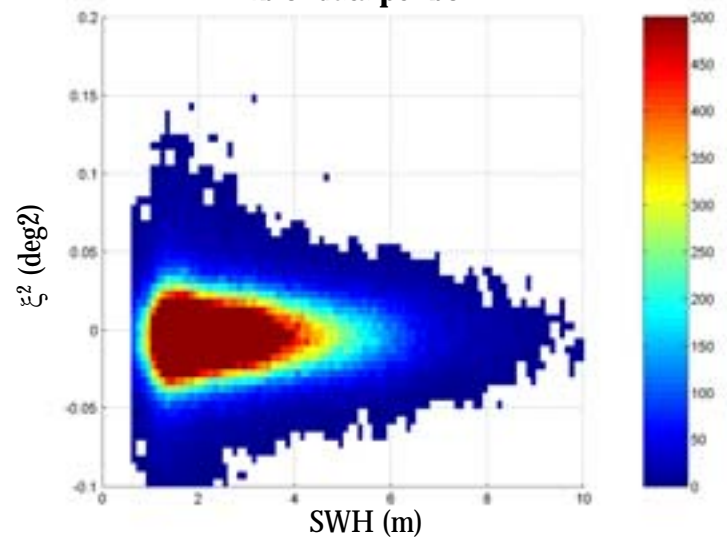
MLE-3

MLE-4



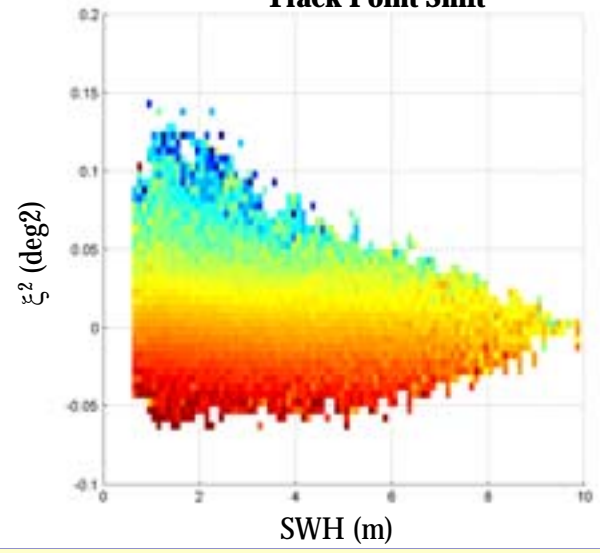
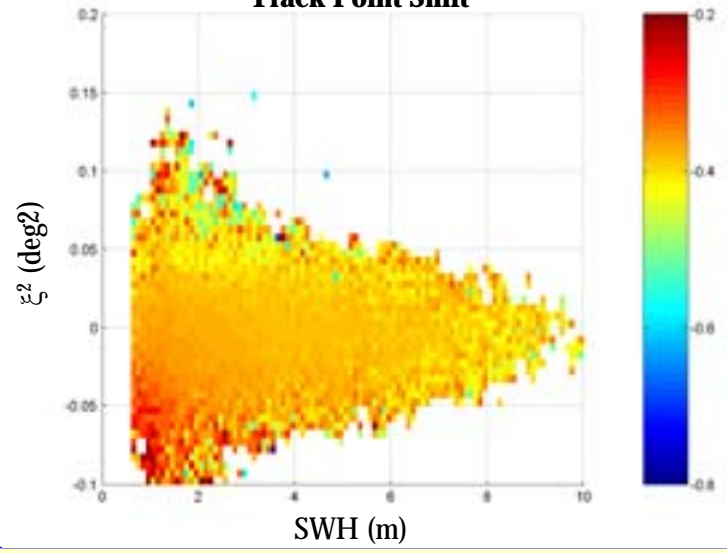
Nb of data per box

Nb of data per box



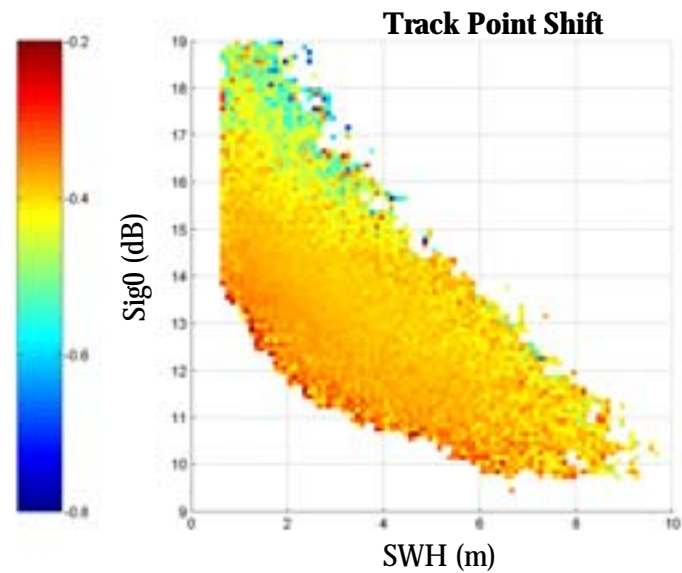
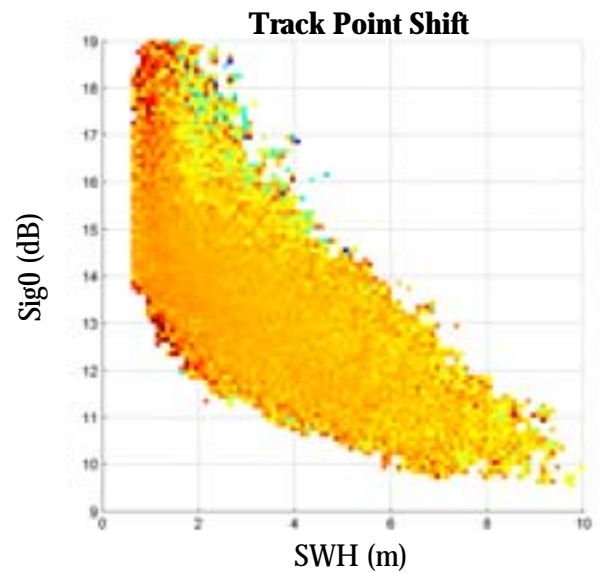
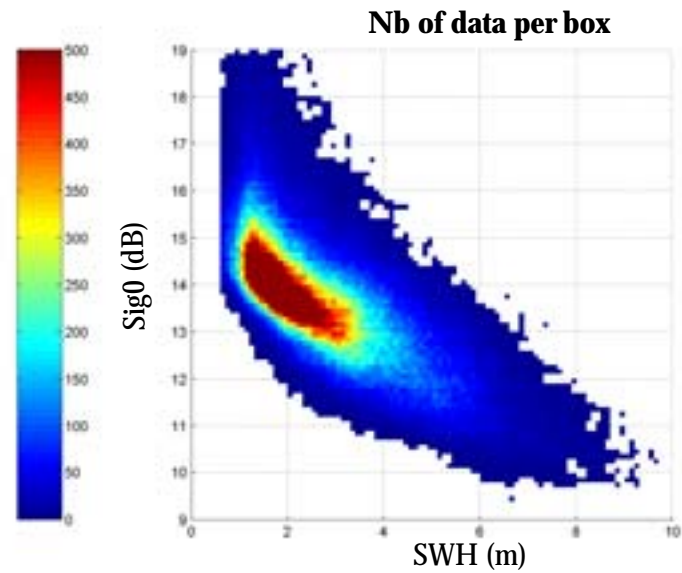
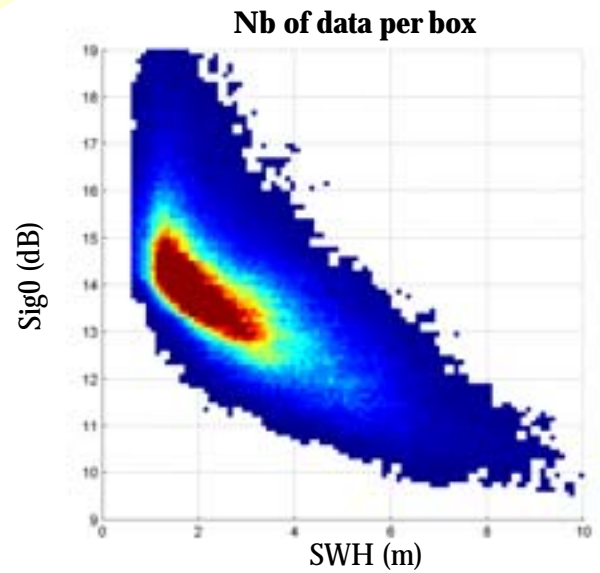
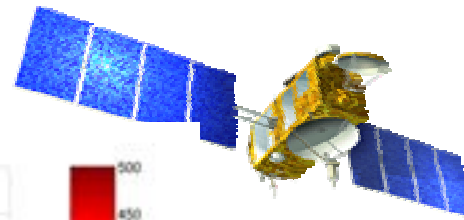
Track Point Shift

Track Point Shift



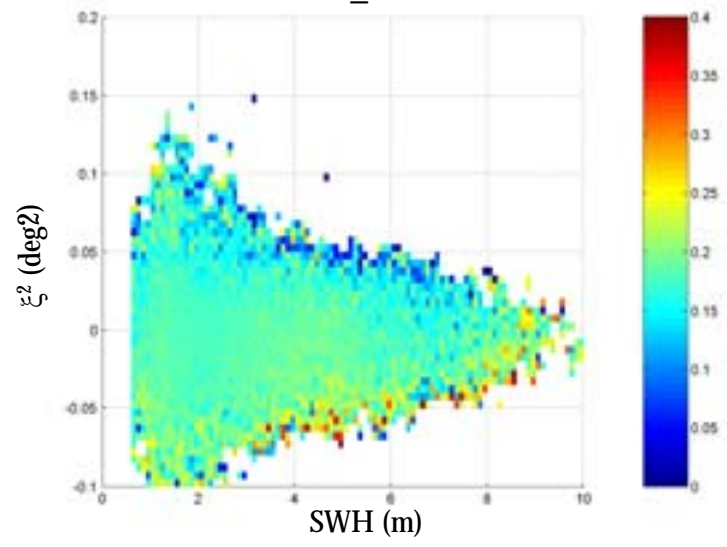
MLE-3

MLE-4



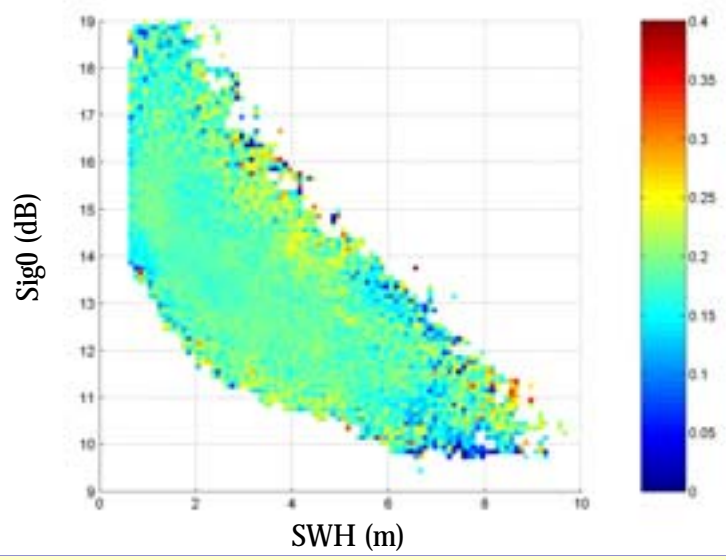
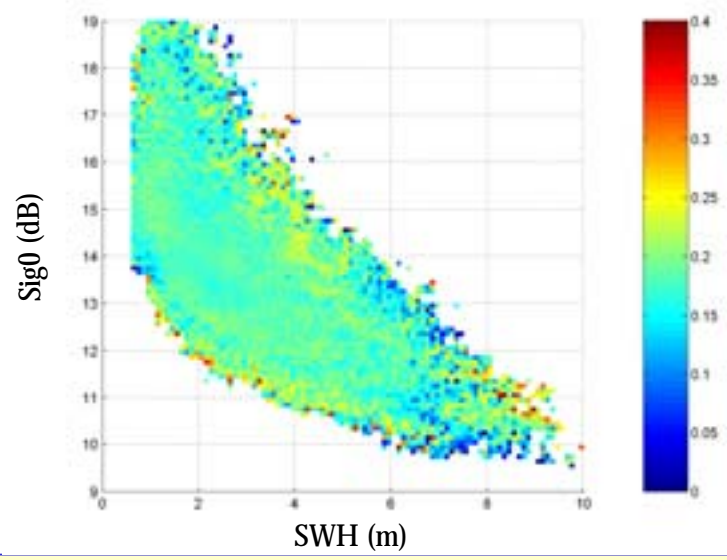
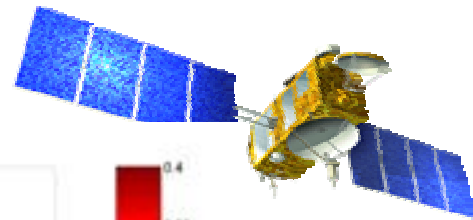
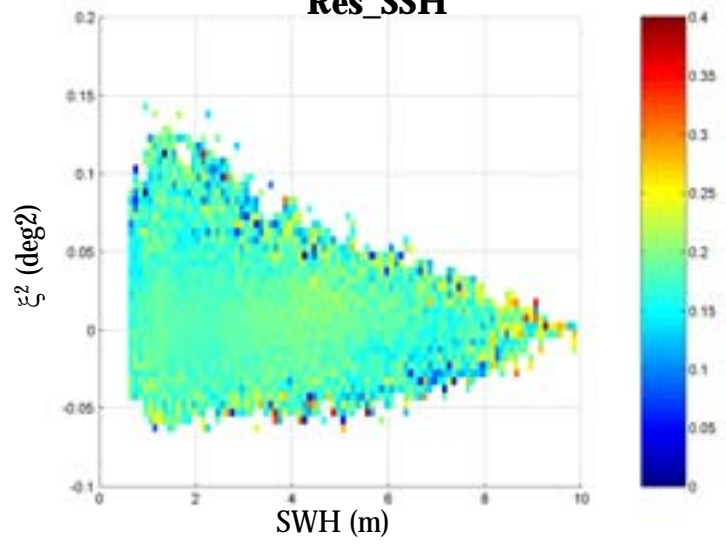
MLE-3

Res_SSH

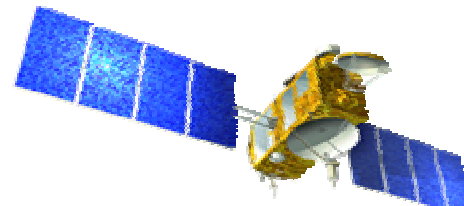


MLE-4

Res_SSH

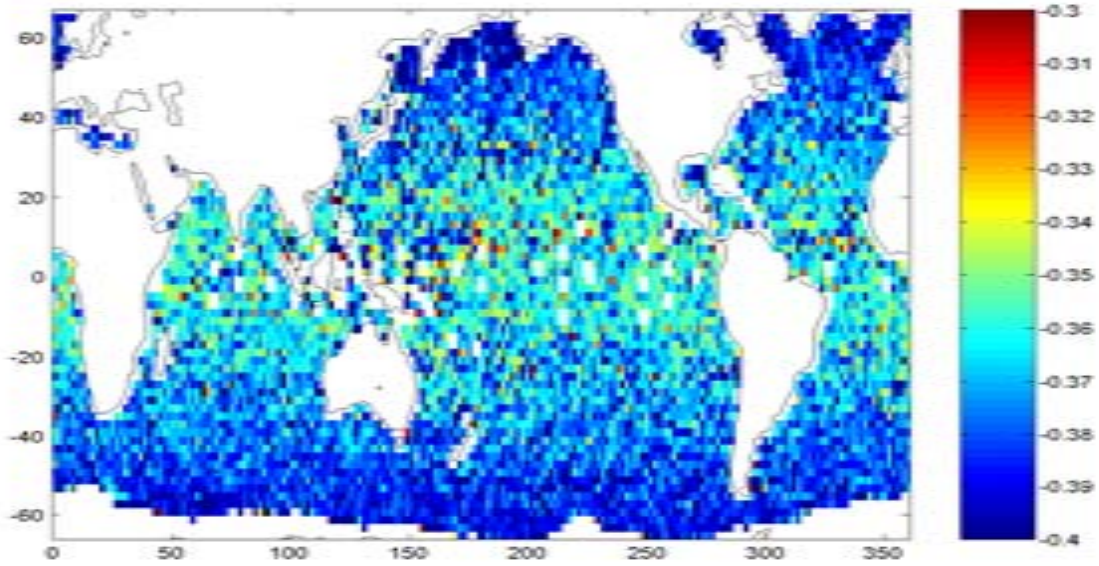


Cycle061



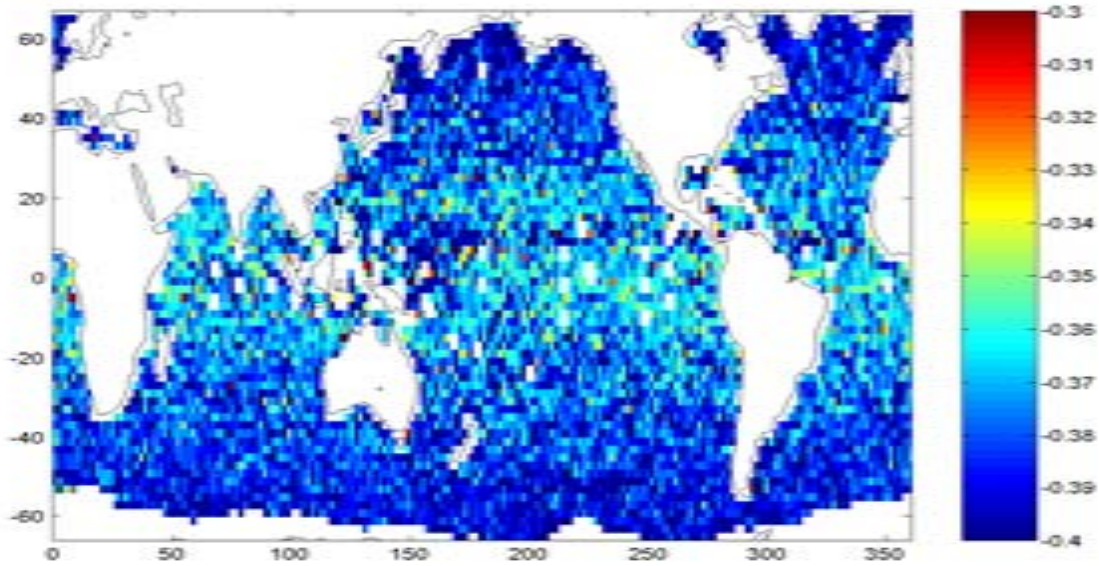
Track Point Shift

MLE-3

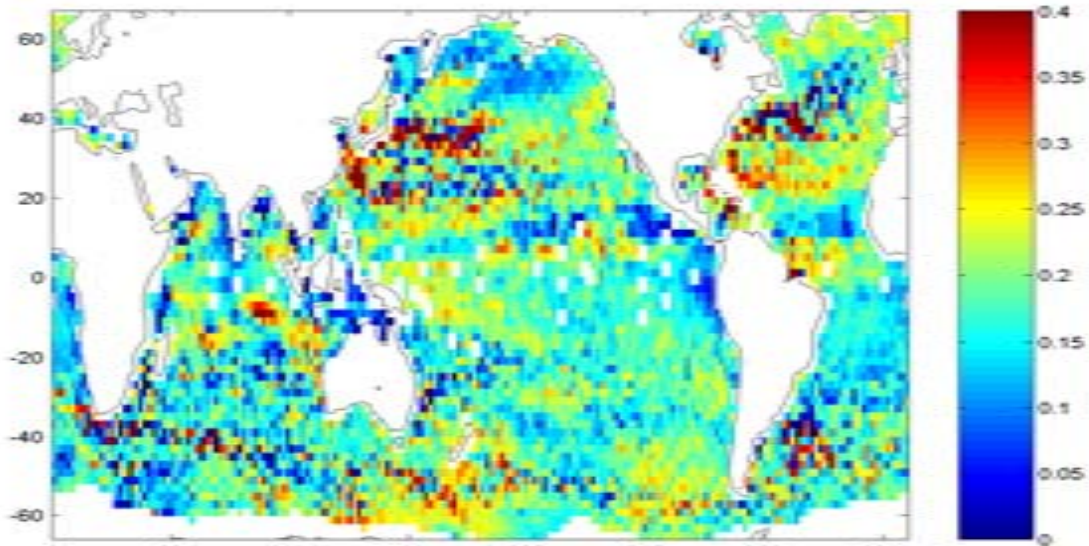
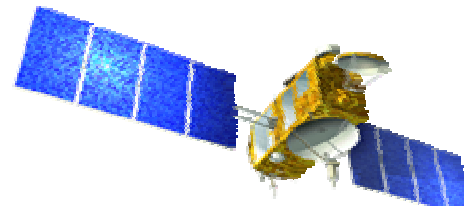


Track Point Shift

MLE-4

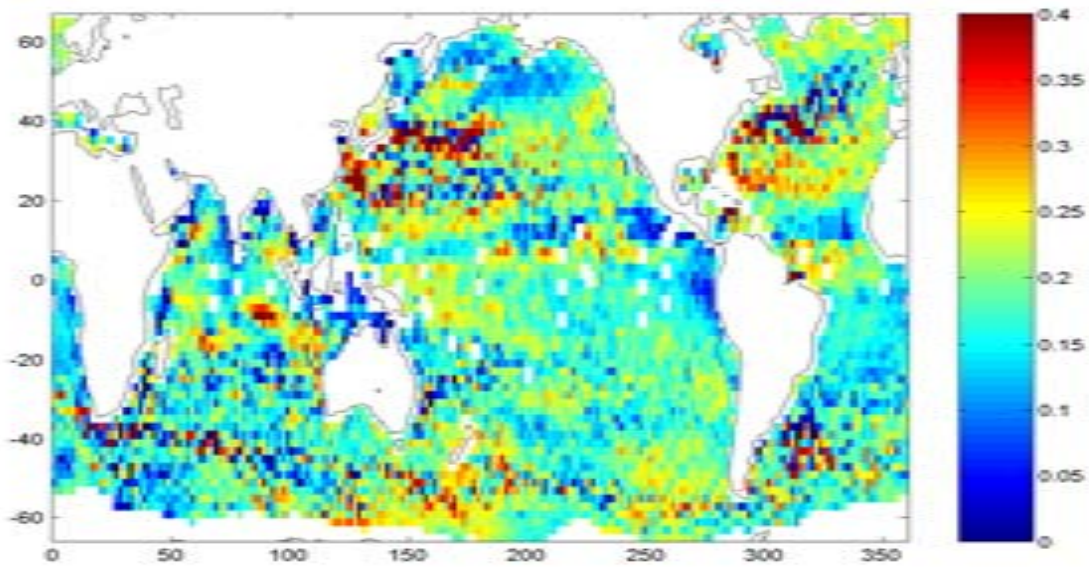


Cycle061



SSH-Res

MLE-3

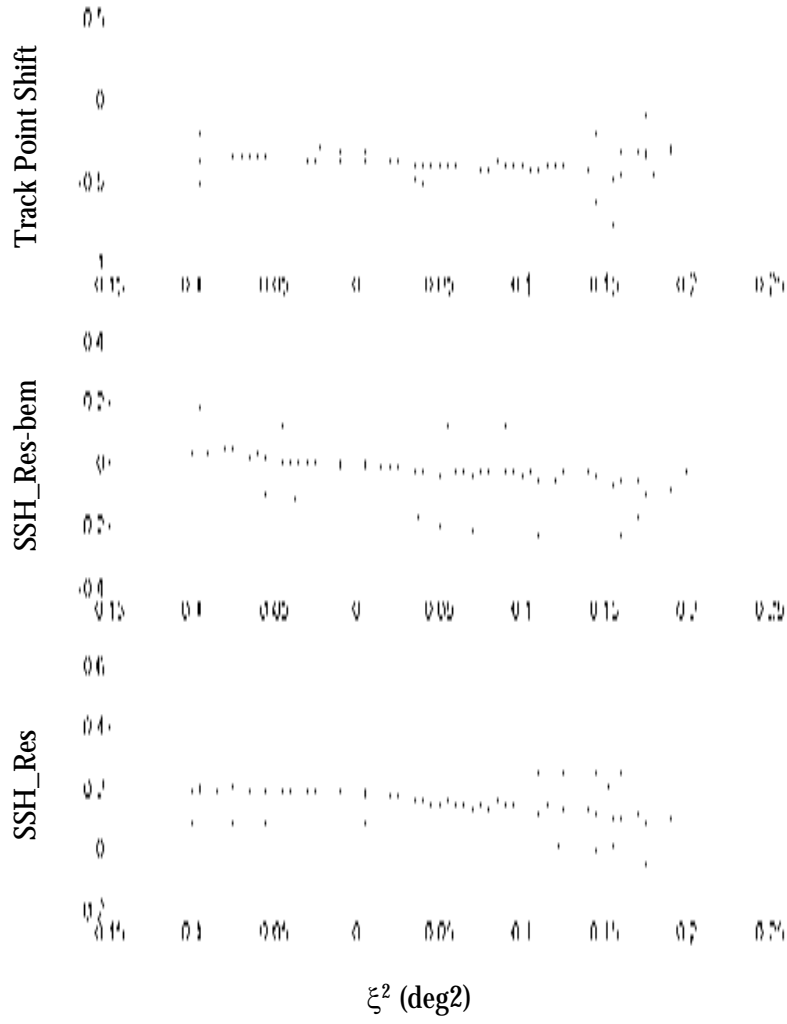


SSH-Res

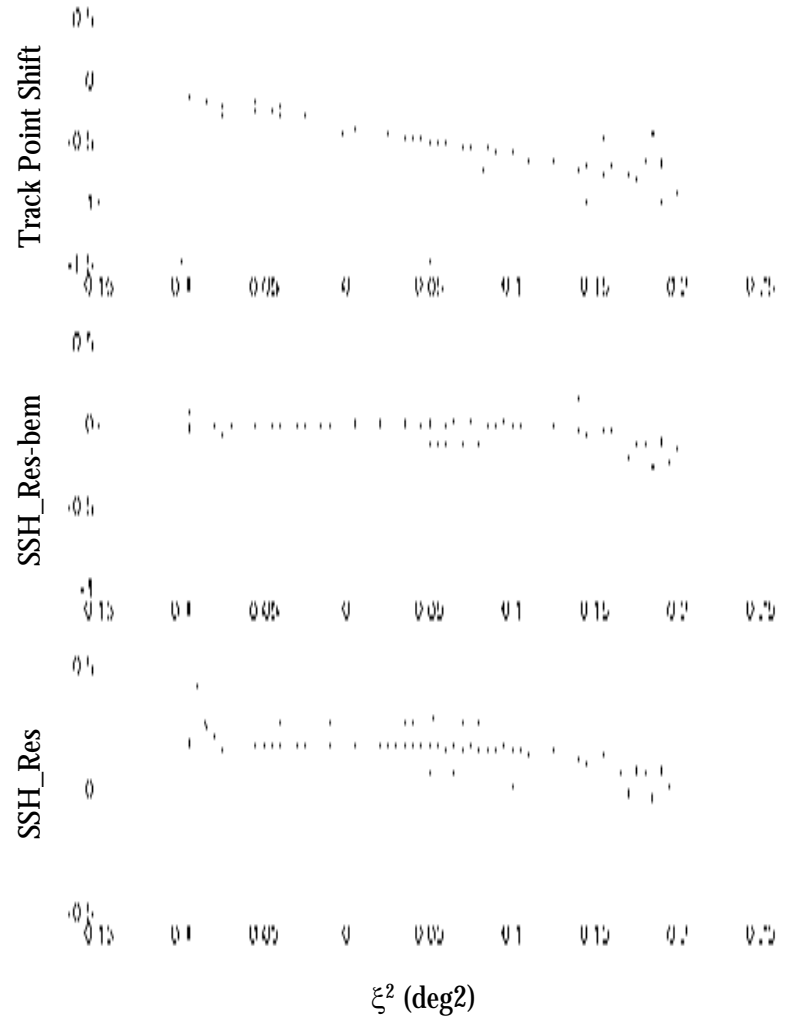
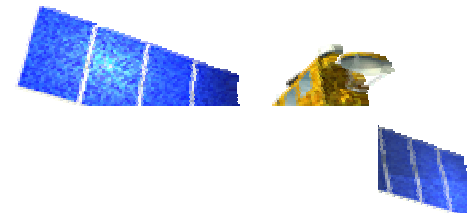
MLE-4



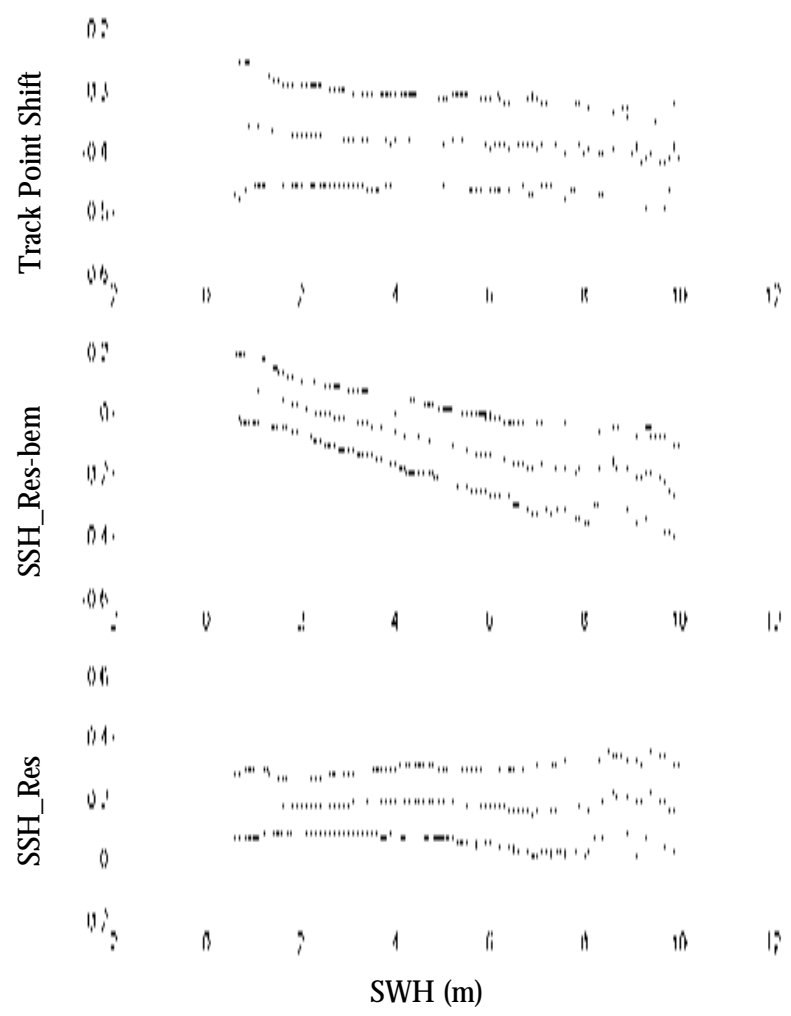
MLE-3



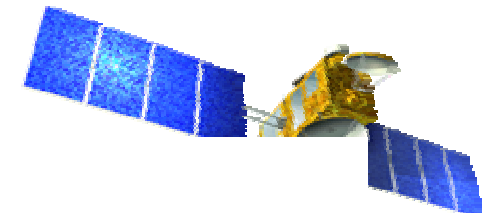
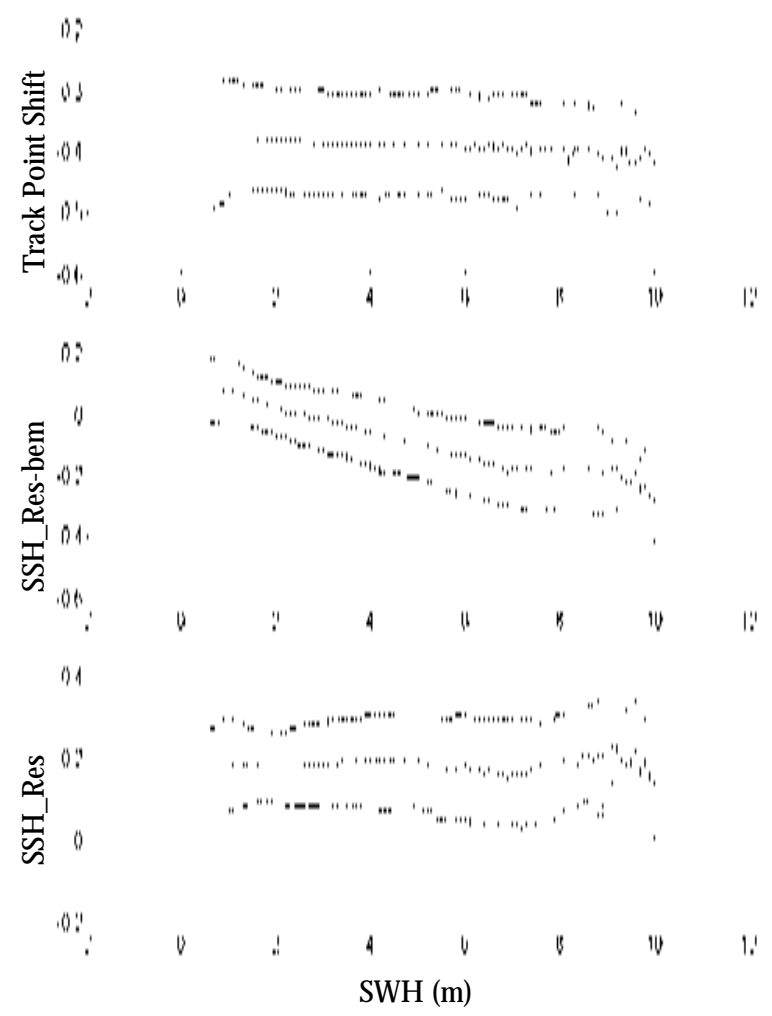
MLE-4

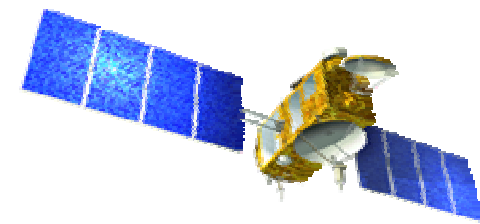


MLE-3



MLE-4





GENERAL CONCLUSIONS

- **A compact formulation has been proposed. It 's very close to the first order model formulation.**
- **It is « easy » to implement this formulation in the ground processing chains.**
- **This model is valid up to 0.7°. (instead of 0.3° for 1st order model)**
- **This formulation has been used to estimate altimetric parameters (range, SWH, PUI et ξ^2) for high mispointing angles values. Results obtained with this new formulation have been analysed and are good and coherent with those obtained by simulation**