Geostrophic turbulence near rapid changes in stratification

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Idea...

- A body of recent work (Klein, Lapeyre and collaborators) suggests that Surface Quasigeostrophic (SQG) dynamics provides at least a partial description of upper-ocean submesoscale flow.
- ...but the surface of the ocean is not a rigid boundary, and SQG is, formally, an exotic special case.
- How can we generalize `SQG behavior' to realistic environments? What are the minimum, essential ingredients to get SQG behavior, and what are its limits?
- Claim: SQG turbulence is a generic aspect of geostrophic turbulence near background inhomogenities.

Classic SQG

$$z = 0$$

$$D_{t}b = 0 \qquad b = f\partial_{z}\psi = b_{s}(x, y, t)$$

$$D_{t}q = 0 \qquad q = \nabla^{2}\psi + \partial_{z}\left(\frac{f^{2}}{N^{2}}\partial_{z}\psi\right) = 0$$

$$z \to -\infty \qquad \psi \to 0$$
Spectral space, constant N => $\hat{\psi}_{K}(z) = \frac{f}{NK}\exp\left(\frac{NK}{f}z\right)\hat{b}_{K}(0)$

Kolmogorov scaling => KE spectrum $\propto K^{-5/3}$ in forward b² cascade

Blumen (1978), Held et al (1995)

SQG at a discontinuous jump in N



When N has a step-function jump, PV is dominated by delta-fn from dN/dz(z=0): q advection \rightarrow b advection



Consider buoyancy frequency N(z) with a smooth jump at z=0:

$$q = \nabla^{2} \psi + \partial_{z} \left(\frac{f^{2}}{N^{2}} \partial_{z} \psi \right)$$
$$= \nabla^{2} \psi + \frac{f^{2}}{N^{2}} \partial_{zz} \psi + f \partial_{z} \left(\frac{1}{N^{2}} \right) b, \quad b = f \partial_{z} \psi$$

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Near z=0, conservation of q is like conservation of b, with q above and below relatively small

Green's function for QGPV inversion

Given QGPV operator in spectral space

$$\mathcal{L}\psi(z) \equiv -\mathbf{K}^2\psi + \left(\frac{\mathbf{f}^2}{\mathbf{N}^2}\psi'\right)' = \mathbf{q}(z)$$

...we can define a Green's function

$$\mathcal{L}G(z,\xi) = \delta(z-\xi)$$

...such that the streamfunction can be recovered from the PV by integration

$$\psi(z) = \int_{-\infty}^{+\infty} d\xi \ q(\xi) G(z,\xi)$$

Spectrum from GF: Example

Green's function for constant N and $z \rightarrow \pm \infty$

$$G(z,\xi) = -\frac{N_0}{2f} \frac{e^{\pm \frac{K}{K_D}(z-\xi)}}{K} \equiv G_0(z,\xi) \qquad K_D = \frac{f}{N_0 H}$$

Consider limits of constant and delta-function PV distributions

$$q(z) = q_0 \implies \psi_{\mathbf{K}}(z=0) \propto \mathbf{K}^{-2}$$

 $q(z) = \Delta \delta(z) \implies \psi_{\mathbf{K}}(z=0) \propto \mathbf{K}^{-1}$

Spike in q picks out just contribution of G at z=0.

Smooth, but peaked q will do this up to a some small wavenumber proportional to the inverse of the width of the peak

Consider N(z) with smooth jump



Stratification N(z) N-δ=| -2 N+ δ=10 -6-8 -10

WKB approximation for Green's fn

Consider nondimensional QGPV inversion in horizontally periodic, vertically infinite domain, for each horizontal wavenumber K (we later compute finite-depth G numerically)

$$\mathcal{L}\psi(z) = e^{2}\sigma \ \psi'' + e^{2}\sigma' \ \psi' - \psi = \frac{q(z)}{K^{2}}$$
with $e \equiv \frac{f}{N_{0}KH}$ and $\sigma(z) \equiv \frac{N_{0}}{N_{0} + N_{d} \tanh z/\delta}$

WKB approximation of Green's function for operator when $\epsilon \ll 1$

$$G(z,\xi) \approx \frac{-\frac{\sqrt{N(z)N(\xi)}}{2f} \frac{e^{\pm \frac{K}{K_D}(z-\xi)}}{K} \left[\frac{\cosh z/\delta}{\cosh \xi/\delta}\right]^{\pm \frac{K}{K_\delta}}}{\left[\cosh z/\delta\right]}$$

..compare to G for N=N₀
$$G_0(z,\xi) = -\frac{N_0}{2f} \frac{e^{\pm \frac{K}{K_D}(z-\xi)}}{K}$$

new scale:

$$K_{\delta}\equiv \frac{f}{N_{d}\delta}$$

()

Relative smoothness of G and q...

$$G(z,\xi) \approx -\frac{\sqrt{N(z)N(\xi)}}{2f} \frac{e^{\pm \frac{\kappa}{\kappa_{D}}(z-\xi)}}{K} \left[\frac{\cosh z/\delta}{\cosh \xi/\delta}\right]^{\pm \frac{\kappa}{\kappa_{\delta}}}$$

Consider two limiting cases:

1. Peak of PV at z=0: $q(z) \sim \Delta \delta(z)$

$$\psi(z) = \int_{-}^{+} d\xi \ q(\xi)G(z,\xi) \Rightarrow Local SQG relation$$

$$\psi \sim K^{-1}q$$

2. Large wavenumber: $K \gg K_{\delta}$

$$G(z, \xi) \sim K^{-2}\delta(z - \xi) \implies \text{Local 2D relation}$$

$$\psi \sim K^{-2}q$$

Conjecture

Peaked PV will yield SQG-like dynamics between deformation wavenumber of domain, K_D, and deformation scale of jump, K_δ



Numerical GF spectra



Nonlinear simulations

Freely-evolving, rigid boundaries at z = +/-1, N(z), initial PV and streamfunction as below. Resolution 512² x 400



Vorticity at z=0

 $\delta = 0.01$





KE spectra at z=0 for 3 cases



Conclusions

- `SQG behavior' occurs near vertical changes in stratification N, at wavenumbers K such that f/(NH) < K < f/(Nδ)
- The base of the mixed layer is thus the likely generator of observed SQG-like dynamics
- SQG seems to be a generic characteristic of geostrophic turbulence ...
- In real flows (and flows modeled by the full equations), SQG behavior provides a route to loss-of-balance, since the Rossby number increases throughout its forward cascade

Shameless advertisements for other work related to interpreting satellite observations

- Sensitivity of mixing measures to sparse spatial and temporal surface observations (w/ S. Keating – submitted to JPO)
- Projection of QG flow onto vertical modes that efficiently represent both surface and interior dynamics and diagonalize the energy (w/ J. Vanneste)
- Turbulent filtering methods to model unresolved flow (w/ A. Majda and S. Keating)

FTLE for SQG flow with sparse obs

Decreasing temporal resolution

Eady model FTLE (days $^{-1}$) (Δ x = 2 km, Δ t = 16 days)



Eady model FTLE (days ⁻¹) (Δ x = 2 km, Δ t = 3 min)



Eady model FTLE (days ⁻¹) ($\Delta x = 128$ km, $\Delta t = 16$ days)

-3





Decreasing spatial resolution