Geophysical model function for wind speed retrieval from SARAL AltiKa: A sensitivity study

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#### **Objective of the study:**

• To develop a Geophysical Model Function for estimation of the microwave nadir-viewing radar backscatter of sea surface at Ka-band as a function of wind speed based on both theoretical and empirical basis.

• Estimation of wind speed and its validation using other radar altimeter and buoy data.

•Demonstration of wind speed for various case studies on regional to global scale.

#### **Background:**

The radar reflectivity and backscatter not only depends on sea wave slope statistics but also on the roughness and sea foam and whitecaps caused by the near-surface ocean winds due to breaking of waves.

Foam and sea water form a layered media which distort the fine structure of the surface and alter reflectivity due to resonant phenomenon.

Whitecaps are the surface signature of buoyant bubble plumes caused by energetic breaking wind-generated gravity waves and they affect a wide range of oceanographically related processes.

## **Specular point model:**

The average scattering cross-section for a radar altimeter is given by

$$\sigma^{0}(\theta) = \pi |\mathbf{R}(\theta)|^{2} \operatorname{Sec}^{4} \theta \, \mathrm{p}(\zeta_{\mathrm{X}}, \zeta_{\mathrm{Y}})$$
  
where,  $\theta = \operatorname{incidence}$  angle  
 $\mathrm{p}(\zeta_{\mathrm{X}}, \zeta_{\mathrm{Y}}) = \operatorname{surface}$  slope pdf  
 $\zeta_{\mathrm{x}} = \frac{\partial \mathrm{n}}{\partial \mathrm{x}}, \ \zeta_{\mathrm{y}} = \frac{\partial \mathrm{n}}{\partial \mathrm{y}}, \ \mathrm{n} = \operatorname{surface}$  elevation  
 $\mathrm{R}(\theta) = \operatorname{Fresnel}$  reflection coefficient of air to surface interface at incidence angle  $\theta$ 

Under the classical assumption when the sea surface slopes are nearly Gaussian and isotropic in their distribution, the pdf and the scattering coefficient is given by

$$p\left(\zeta_{x},\zeta_{y}\right) = \frac{1}{\pi \overline{S^{2}}} \exp\left(-\tan^{2}\theta/\overline{S^{2}}\right)$$
$$\overline{S^{2}} = \left\langle \zeta_{x}^{2} + \zeta_{y}^{2} \right\rangle = \text{Mean square slope}$$

$$\sigma^{0}(\theta) = \frac{\left| \mathbf{R}(\theta) \right|^{2}}{\overline{\mathbf{S}}^{2}} \sec(\theta) \exp\left(-\tan^{2}(\theta)/\overline{\mathbf{S}}^{2}\right)$$

# The effect of foam on wind speed estimation

The backscattering coefficient for normal incidence is given by

 $\sigma^{0} = |R(0)|^{2} / \overline{S}^{2}$ where  $\overline{S}^{2} = \alpha \ln U_{10} + b$   $|R(0)|^{2} = \text{Reflectivity of the air to surface interface at normal incidence}$ 

Brown (1979) obtained an empirical expression using ship data (39 points) for swell conditions which is given by

$$\sigma^{0} = -2.1 - 10 \log_{10} (A \ln U_{10} + B)$$
  
where A = 0.02098 B = 0.01075 for  $U_{10} \le 9.2 \text{ m/s}$   
A = 0.08289 B = -0.12664 for  $U_{10} \ge 9.2 \text{ m/s}$ 

Under high sea state conditions, the coverage of whitecaps will change the microwave reflectivity of the sea surface and modified backscattering coefficient can be expressed as

$$\boldsymbol{\sigma}^{0} = \left[ \left| \mathbf{R}(\mathbf{0}) \right|^{2} (1 - \mathbf{F}) + \mathbf{r}_{f} \mathbf{F} \right] / \overline{\mathbf{S}}^{2}$$

F = Significant coverage of whitecaps

 $\Gamma_{\rm f}$  = Reflectivity of whitecaps

where a = 0.010 b = 0.012 for  $U_{10} \le 7 \text{ m/s}$ 

 $a = 0.085 \ b = -0.145 \text{ for } U_{10} \ge 7 \text{ m/s}$ 

The frequency dependent fractional foam coverage is given by

$$F = a_{0} + a_{1} U_{10} + a_{2} U_{10}$$
  
where  $a_{0} = 1.707 \times 10^{-2} + 8.560 \times 10^{-4} V + 1.120 \times 10^{-5} V^{2}$   
 $a_{1} = -1.501 \times 10^{-2} + 1.821 \times 10^{-3} V - 4.634 \times 10^{-5} V^{2}$   
 $a_{2} = 2.442 \times 10^{-2} - 2.282 \times 10^{-6} V + 4.194 \times 10^{-7} V^{2}$ 

## **Microwave reflectivity versus thickness of whitecaps**



The power reflectivity declined rapidly with increasing foam thickness.
The minimax points were caused by the resonant absorption of the foam layer.

#### **Results for Ka-band:**



Vandermark et al. (2004), J. Phys. Oceanogr.

**Present Model Function** 

#### Figure: Histogram of SARAL-sigma0, retrieved wind from SAC and CNES (Patch-1)















Empirically derived equation WS=-3.26847+43.6725\*exp(-0.151199\*sig)+0.523281\*swh

Where sig is the back scatter coefficient(dB) and swh is the significant wave height(m)





SARAL-AltiKa Wind Speed(m/s) 28JULY2013-31AUG2013

Oceansat-2 Wind Speed(m/s)28JULY2013-31AUG2013





## **Conclusion:**

The proposed model provides better agreement with in-situ data and Jason-2 retrieved wind speed.
The empirical model function provides better wind speed retrievals.









