

SWOT Science Team Hydro splinter 1: Arctic lake science and AirSWOT observations

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Motivations

The Earth's Arctic/sub-Arctic latitudes are home to the world's **highest abundance of lakes**, making this region critically important for CH₄ emissions, ecological habitat, landscape disturbance (thermokarst), and traditional subsistence cultures

The polar regions are **highly seasonal**, yet research on **sub-seasonal dynamics** of Arctic/sub-Arctic lakes has been limited by lack of *in situ* and high temporal/spatial resolution satellite of dA/dt and dH/dt



Motivations

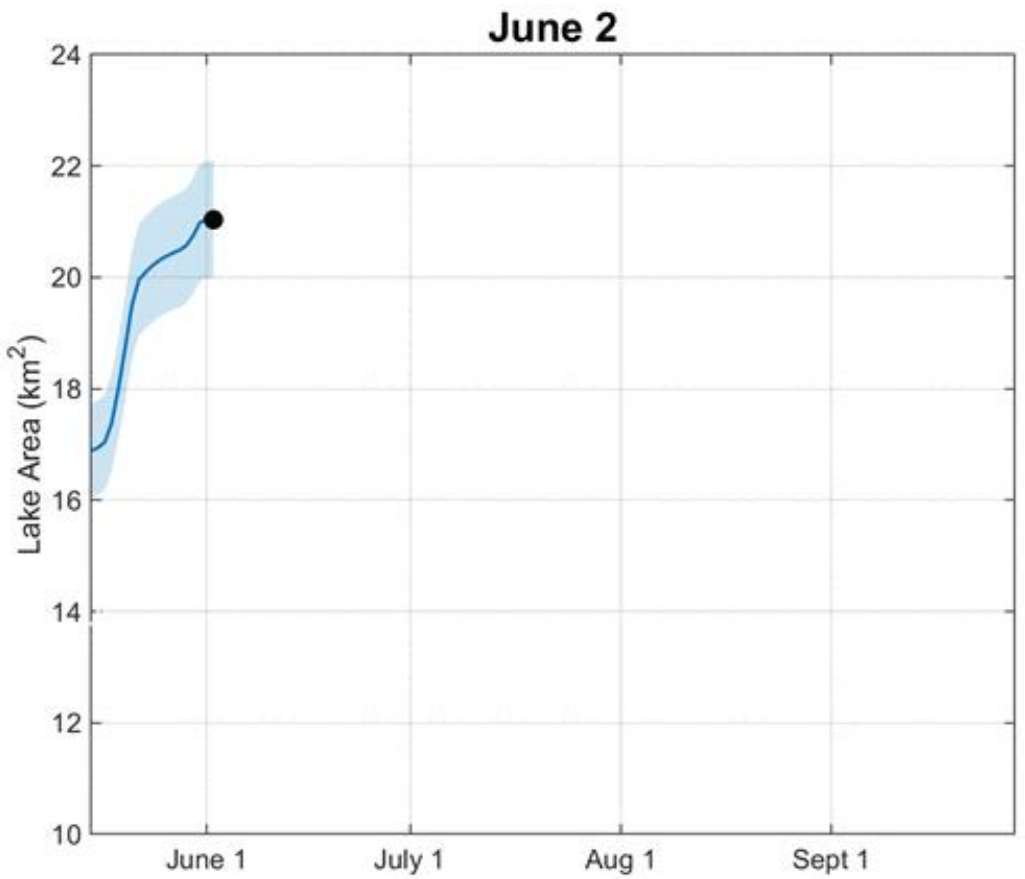
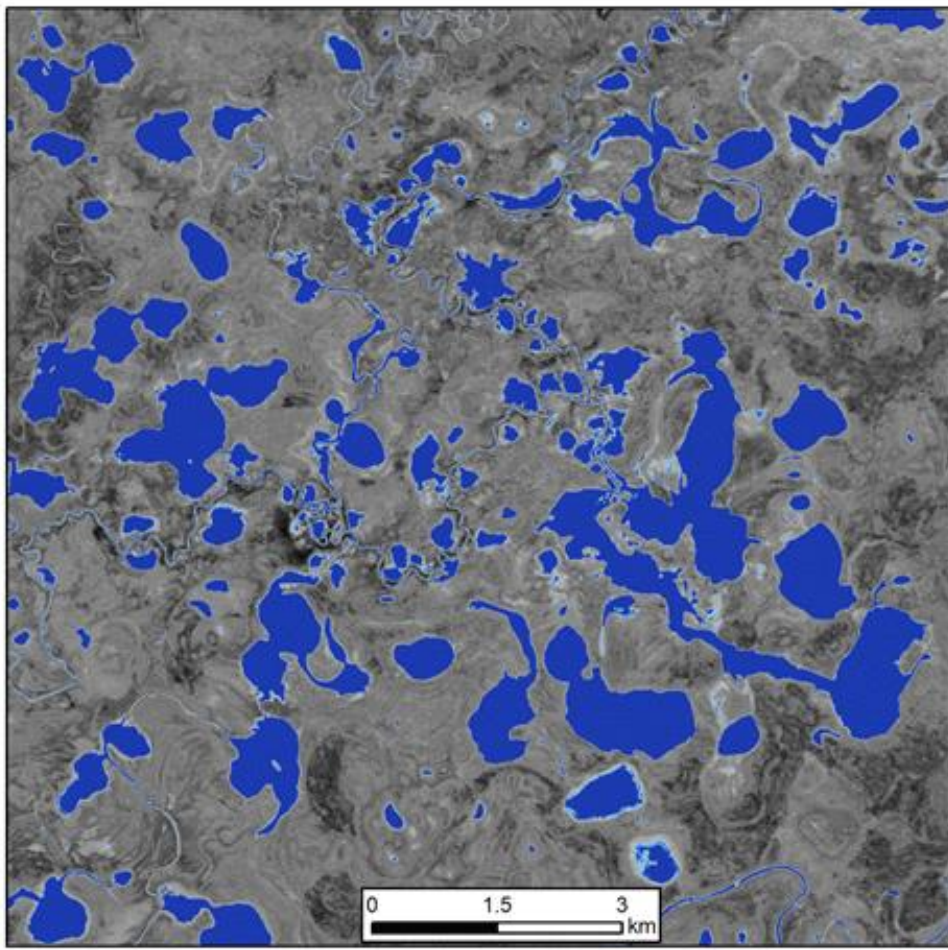
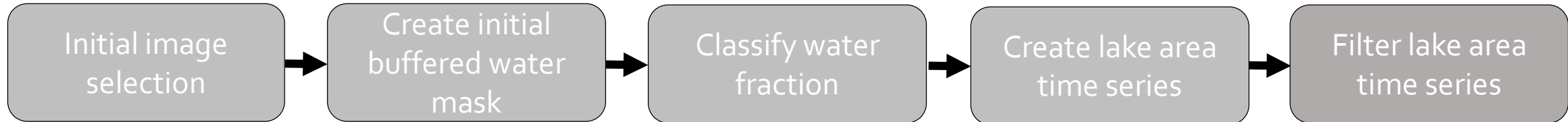
The Earth's Arctic/sub-Arctic latitudes are home to the world's **highest abundance of lakes**, making this region critically important for CH₄ emissions, ecological habitat, landscape disturbance (thermokarst), and traditional subsistence cultures.

The polar regions are **highly seasonal**, yet research on **sub-seasonal dynamics** of Arctic/sub-Arctic lakes has been limited by lack of *in situ* and high temporal/spatial resolution satellite of dA/dt and dH/dt.

Recently, hundreds of **CubeSats** began collecting near-daily observations of Arctic lakes at 3 - 5 m resolution, and the NASA Arctic-Boreal Vulnerability Experiment (ABOVE) conducted the world's largest acquisition of **AirSWOT InSAR and CIR camera airborne data** in 2017.



CubeSat Lake Tracking Method (Cooley et al., GRL, 2019)



Cooley et al. (2019), Arctic-Boreal Lake Dynamics Observed using CubeSat Imagery, *Geophysical Research Letters*, doi:10.1029/2018GL081584

Study Areas

Yukon Flats Basin, Alaska



Mackenzie River Valley, NWT

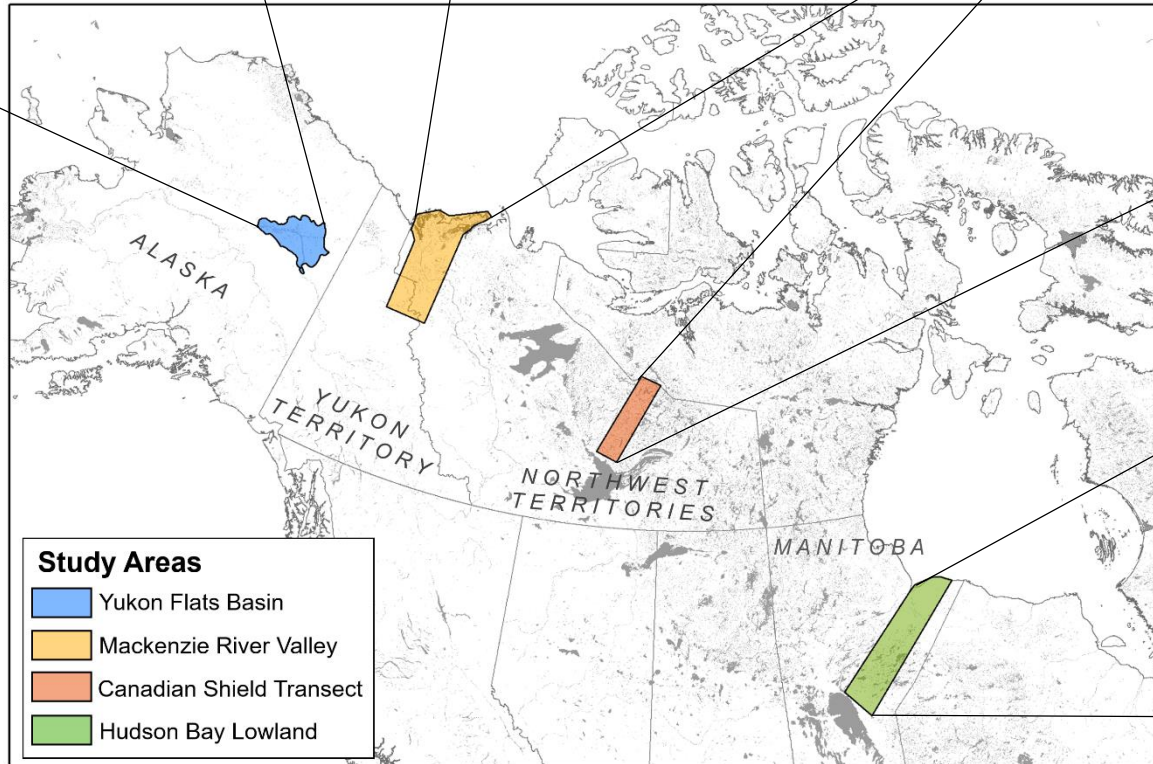


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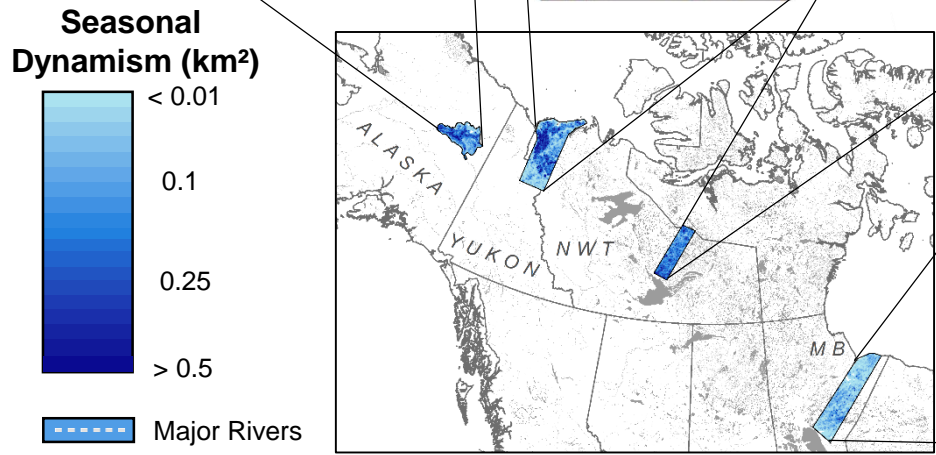
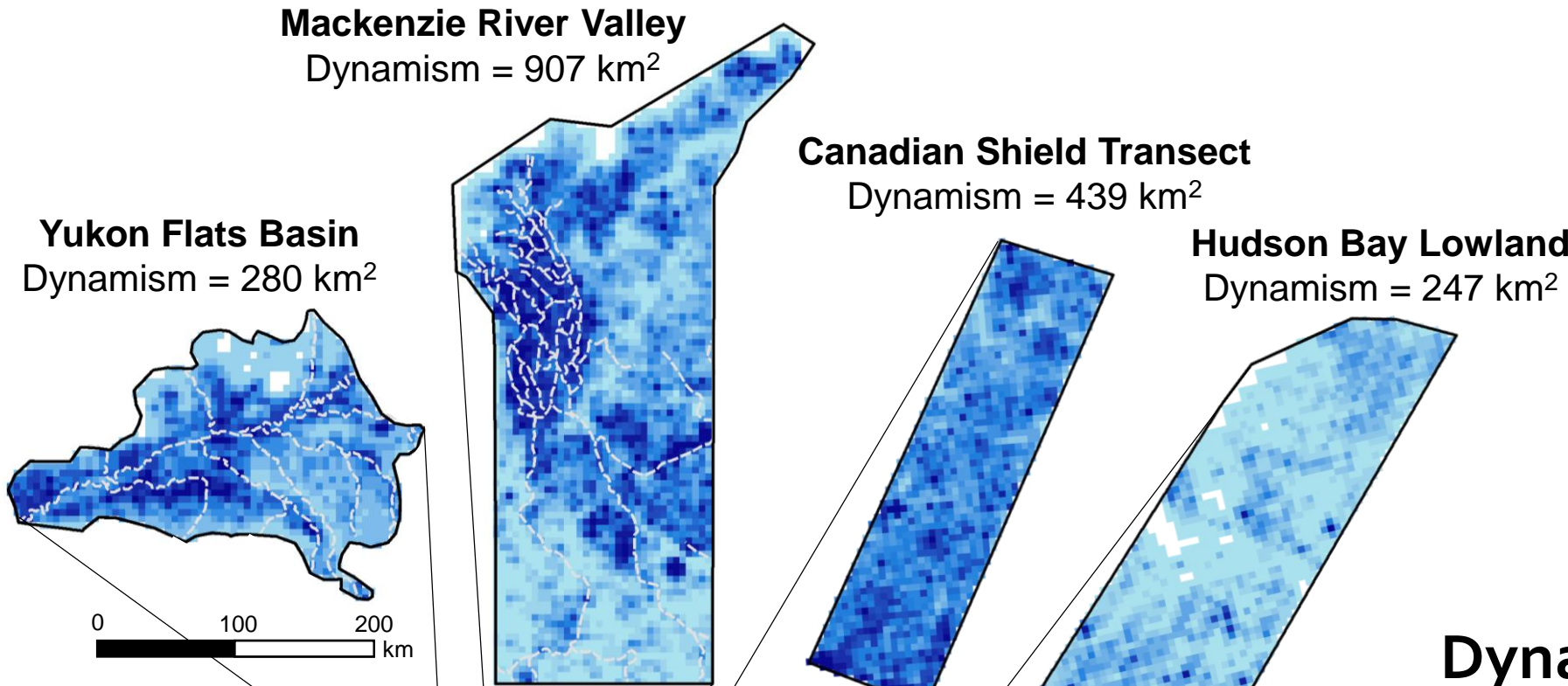
Canadian Shield Transect, NWT



Hudson Bay Lowland, Manitoba



2. Sub-seasonal dynamics in total lake area

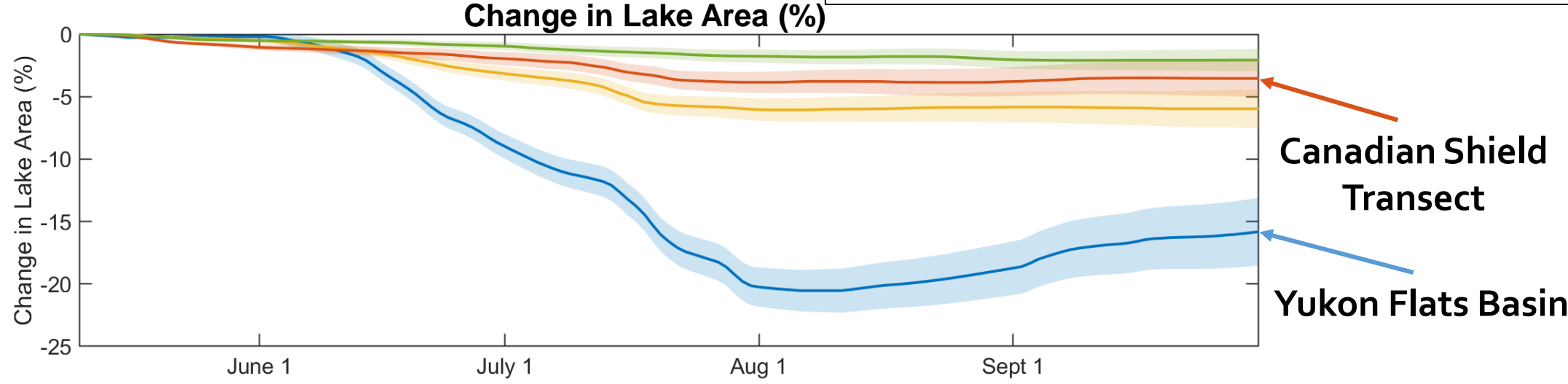


Dynamism =
Seasonal maximum
lake area – seasonal
minimum lake area
(km²)

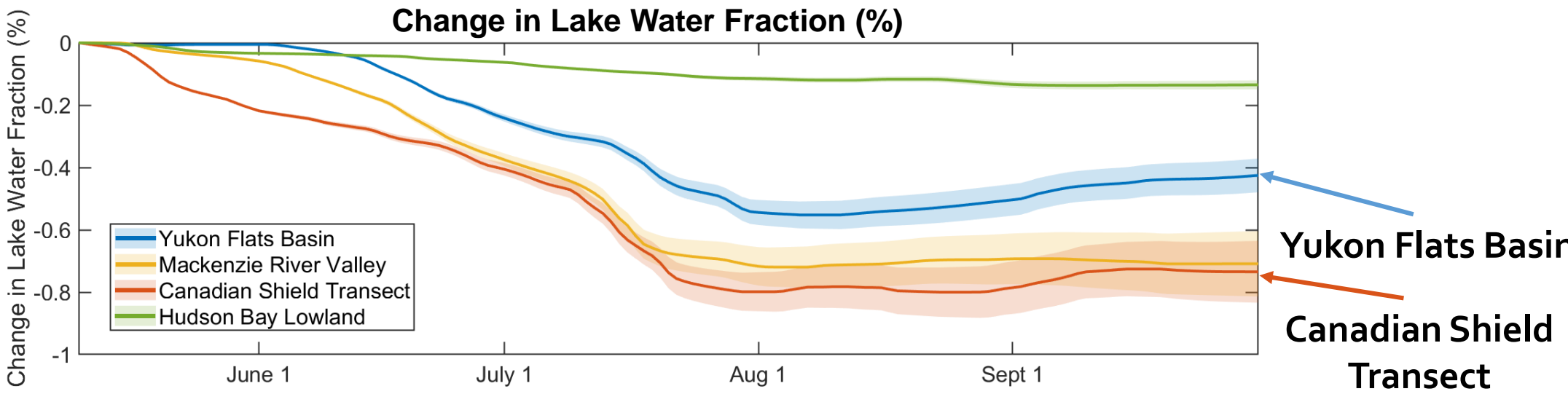
Sub-seasonal lake dynamics are important

Cooley et al. (2019), Arctic-Boreal Lake Dynamics Observed using CubeSat Imagery, *Geophysical Research Letters*, doi:10.1029/2018GL081584

Lake Change
Lake Area



Lake Change
Land Area



Lakes on the Canadian Shield are surprisingly dynamic, with the greatest absolute change in water fraction

2017 ABoVE AirSWOT flight and field campaigns

In summer 2017, NASA flew ten airborne platforms across the ABoVE domain including coincident flight tracks with **AirSWOT** (Ka-band InSAR and high-resolution color infrared (CIR) camera data), **LVIS** (waveform LiDAR), **AVIRIS-NG** (hyperspectral vis/NIR imagery) and **UAVSAR** (L- and P-band polarimetric radar

Extensive field cal/val GPS surveys led by UCLA with help from many others including Cretaux, Calmant from France



Available AirSWOT InSAR products *(Fayne et al., 2018)*

Overview

DOI	https://doi.org/10.3334/ORNLDAAC/1646
Project	ABOVE
Published	2019-03-29
Updated	2019-03-29
Usage	26 downloads

 [User Guide](#)

Description

AirSWOT is an airborne calibration and validation instrument for the upcoming Surface Water Topography Mission (SWOT) satellite. AirSWOT is capable of producing high resolution digital elevation models over land and water bodies. This dataset provides AirSWOT Ka-band (35.75



Spatial Coverage

Bounding rectangle

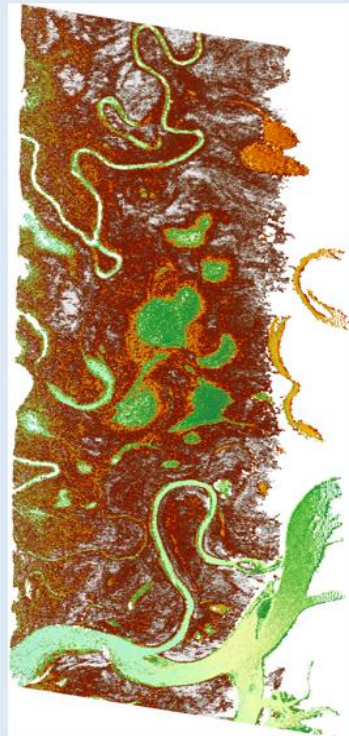
N: 70.49 S: 46.85 E: -98.63 W: -149.83

Temporal Coverage

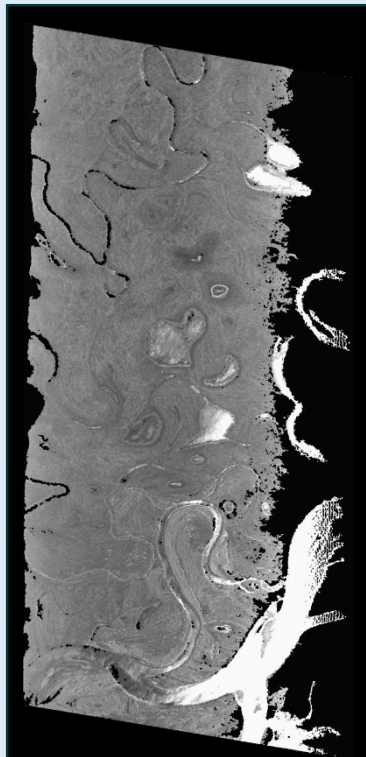
The 2017 ABoVE AirSWOT data are publically available on

ORNL-DAAC: https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1646

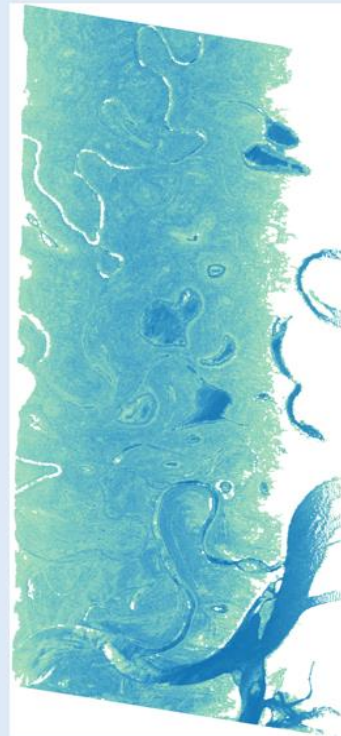
Height



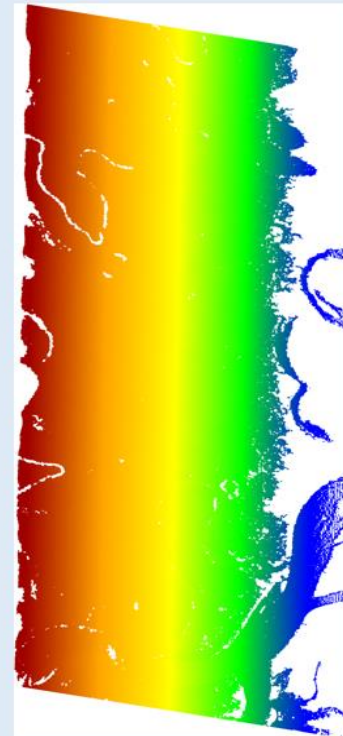
Magnitude



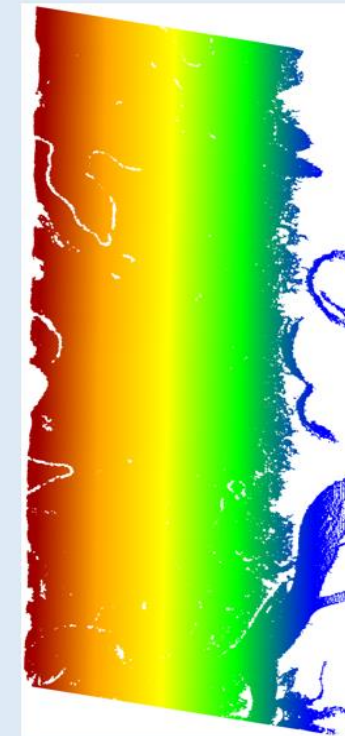
Coherence



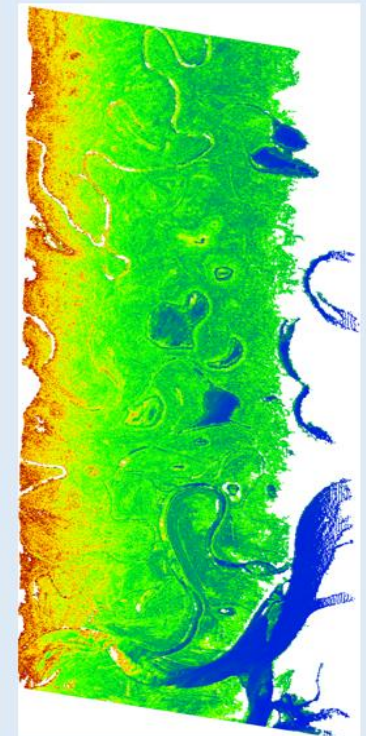
Incidence



$\Delta h \Delta \phi$



Error



ABoVE: AirSWOT Color-Infrared Imagery Over Alaska and Canada, 2017

Get Data

Documentation Revision Date: 2018-11-26

Data Set Version: 1

Summary

This dataset contains georeferenced three-band orthomosaics of green, red, and near-infrared (NIR) digital imagery at 1m resolution collected over selected surface waters across Alaska and Canada between July 9 and August 17, 2017. The orthomosaics were generated from individual images collected by a Cirrus Designs Digital Camera System (DCS) mounted on a Beechcraft Super King Air B200 aircraft from approximately 8-11 km altitude. Flights were over the following areas: Saskatchewan River, Saskatoon, Inuvik, Yukon River including Yukon Flats, Sagavanirktok River, Arctic Coastal Plain, Old Crow Flats, Peace-Athabasca Delta, Slave River, Athabasca River, Yellowknife, Great Slave Lake, Mackenzie River and Delta, Daring Lake, and other selected locations. Most locations were imaged twice during two flight campaigns in Canada and Alaska extending roughly SE-NW then NW-SE up to a month apart. The data were georeferenced using 303 ground control points (GCPs) across the study region.

These data are intended to validate surface water extent to aid interpretation of AirSWOT Ka-band radar returns as part of the AirSWOT ABoVE project. The core of AirSWOT is the Ka-band SWOT Phenomenology Airborne Radar (KaSPAR). It collects two swaths of across-track interferometry data - between nadir and 1 km and between 1 km and 5 km, respectively - which can be used to obtain centimeter-level topographic maps of water surfaces. In addition, KaSPAR has an along-track interferometer that can be used to measure the temporal decorrelation of water surfaces, as well as the water radial velocity.

There are 335 data files with this dataset. This includes 330 orthomosaics in GeoTIFF (.tif) format, four shapefiles compressed in .zip format, and one comma-separated file (.csv). The shapefiles and .csv provide the ground control point data. Companion files: we include the 330 orthomosaic data files and three shapefiles transformed to .kmz format for viewing in Google Earth.

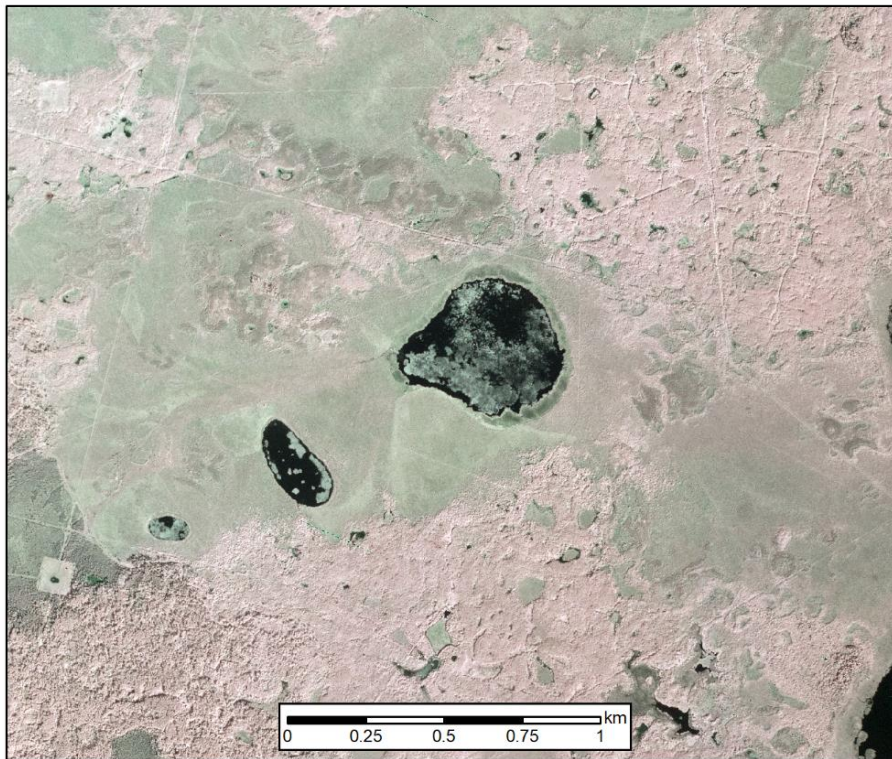


Figure 1. This figure shows open surface waters (black areas) for a location northwest of Fort Saskatchewan, Canada. This image for ABoVE grid ch078v097 was acquired July 9, 2017.

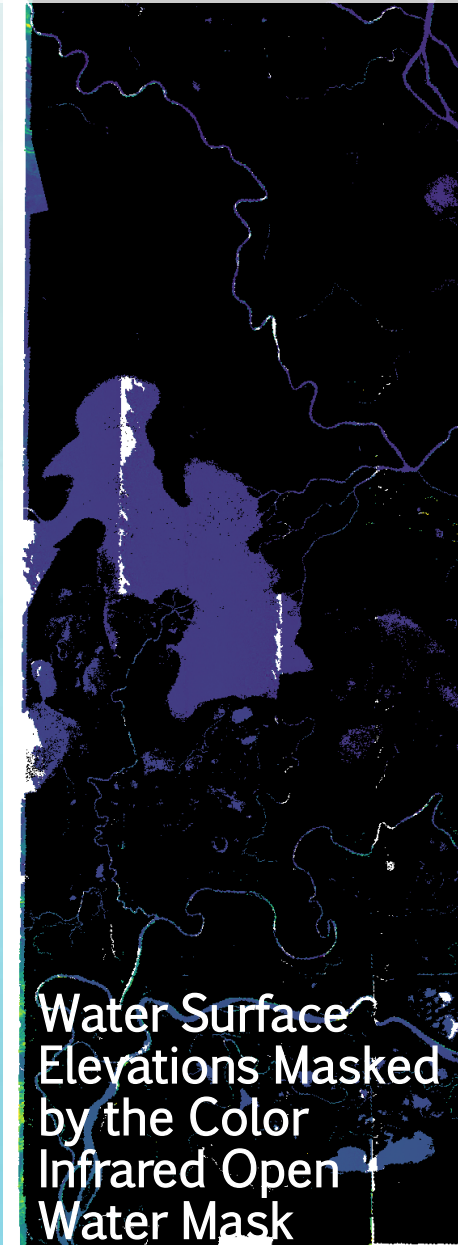
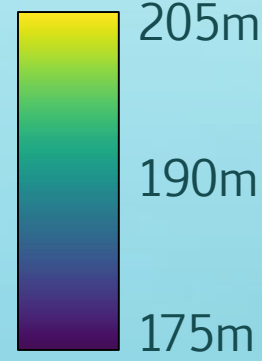
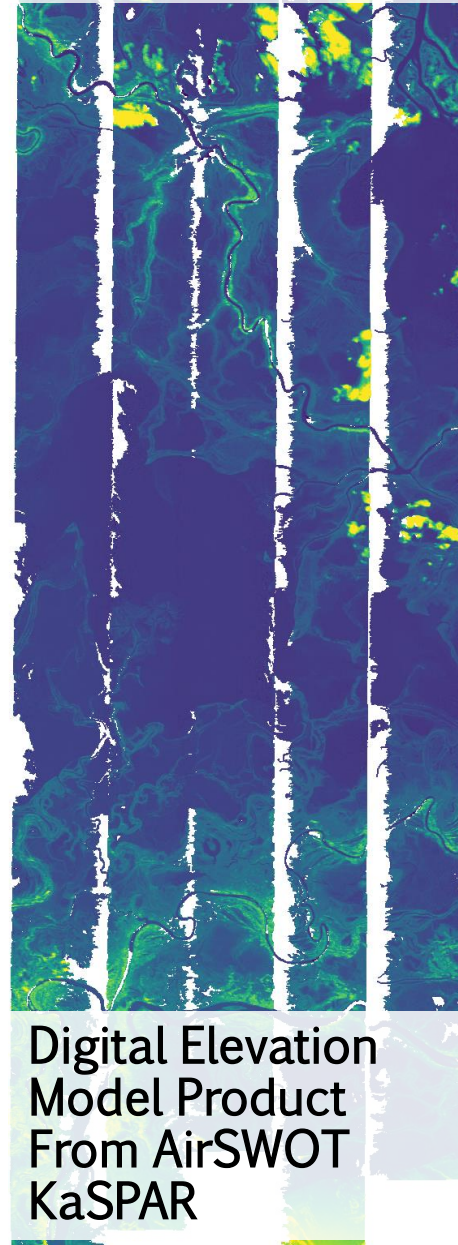
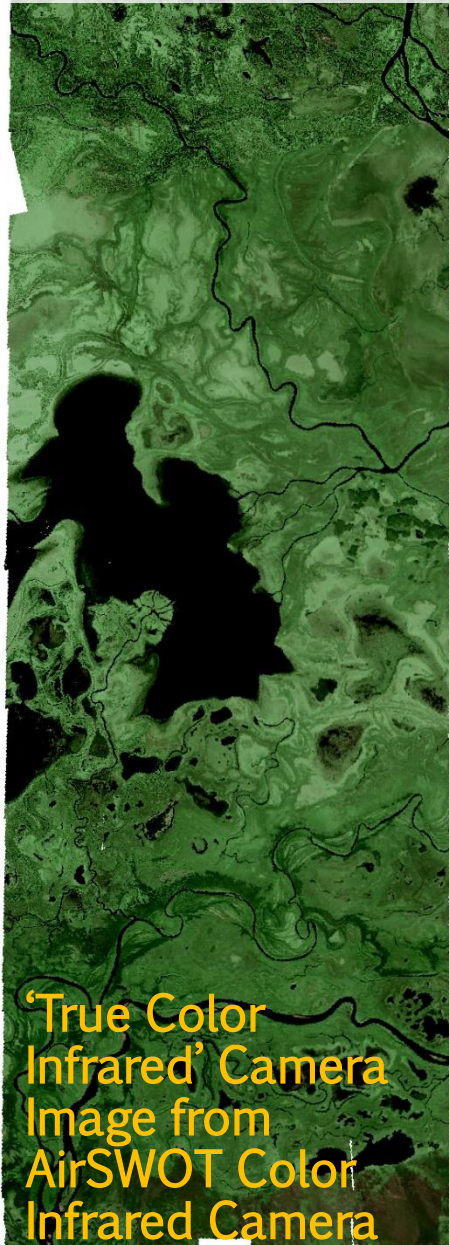
Available AirSWOT color-infrared imagery and open-water masks (Kyzivat et al. 2018, 2019)

- 1m pixel size with georeferencing accuracy assessed for each image
- Covers 38% of foundational AirSWOT flight lines
- 3,167 km² of open water mapped
- Water mask dataset to be posted soon
- <https://doi.org/10.33334/ORN/LDAAC/1643>

How well did AirSWOT Ka-band Radar interferometry map water surface elevations (WSEs) across the ABoVE domain? (Jessica Fayne, UCLA)

- To answer this question, we used:
 - In-situ WSE measurements from GPS, supported by pressure transducers to compare across time
 - High Resolution Water mask derived from the AirSWOT CIR Camera (*Kyzivat et al, 2019*)
 - Airborne waveform LiDAR from the Land, Vegetation and Ice Sensor (LVIS)

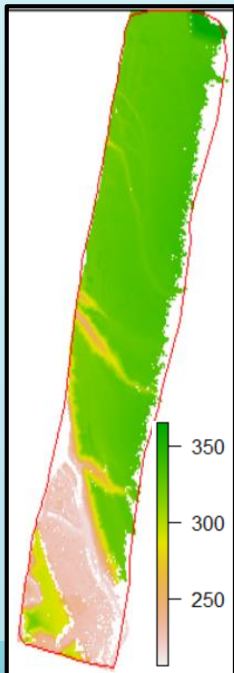
Peace-Athabasca Delta – August 13, 2017



1. Results: No Data Fraction (including 'dark water')

Estimate fraction of 'no data' on irregular swath

Whole Line	Within CIR Water Mask	Within Water Mask and 5-17°	Within Water Mask and <math><4.1^\circ</math>
16%	66%	57%	48%

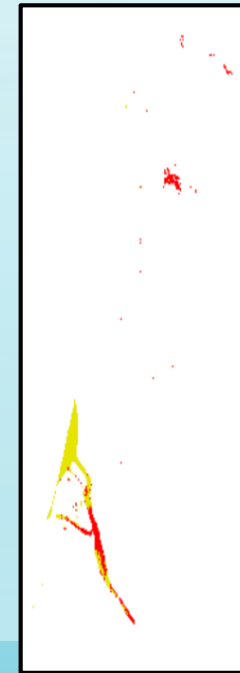


AirSWOT Height



No Data

Water Mask

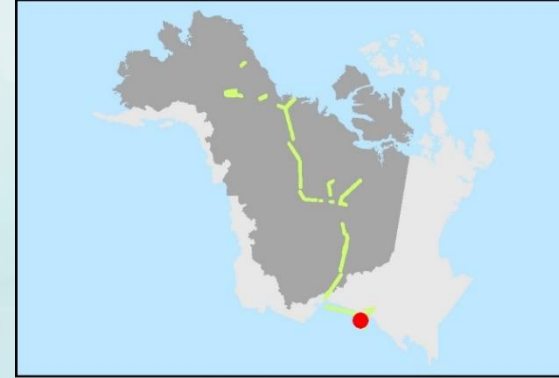


No Data Over Water

Data Over Water

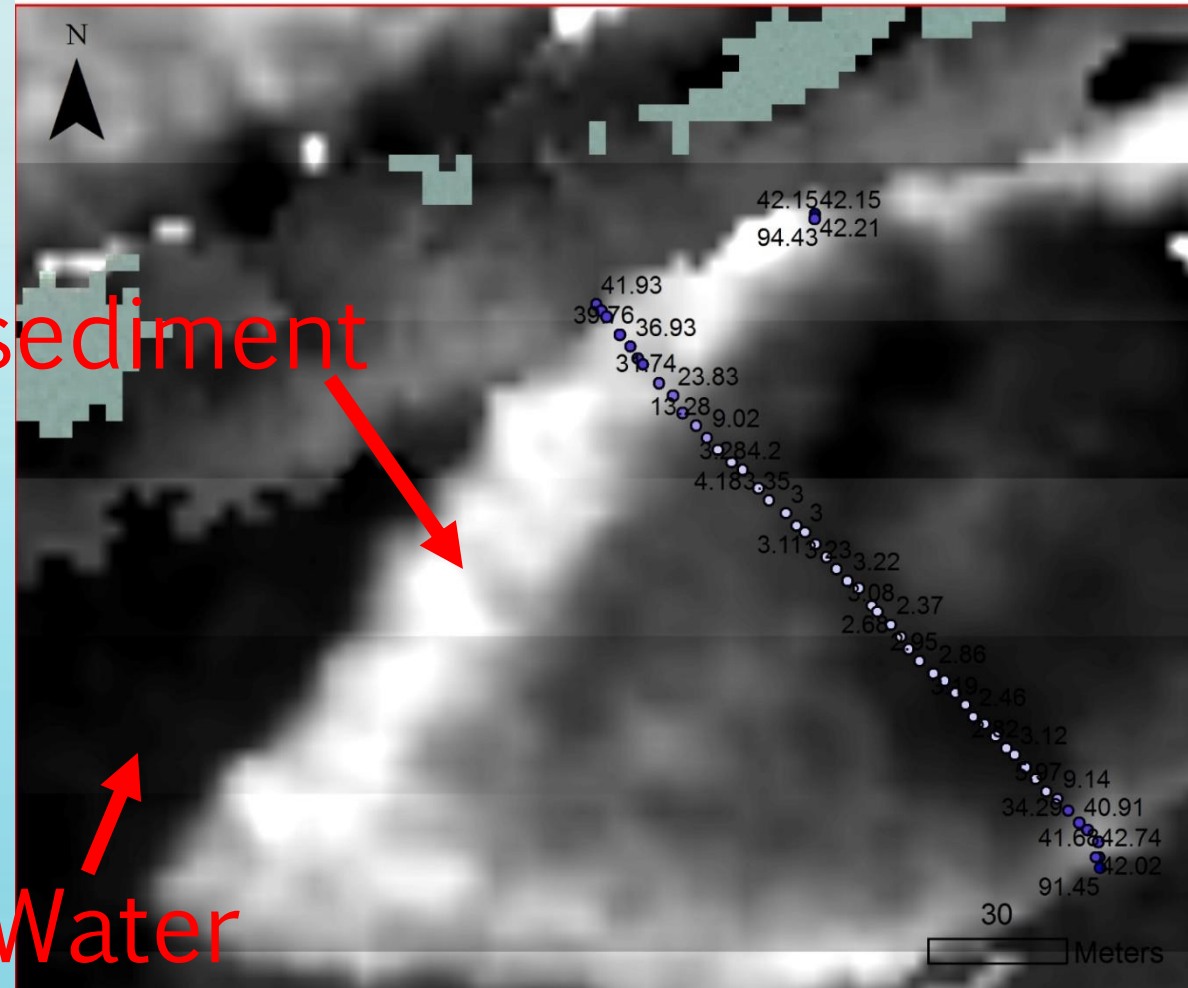
"Bright mud" (actually sand) high Ka-band returns along the North Saskatchewan River, Canada

- GPS survey of shorelines
- In situ measurement transects across sandbar soil moisture gradients

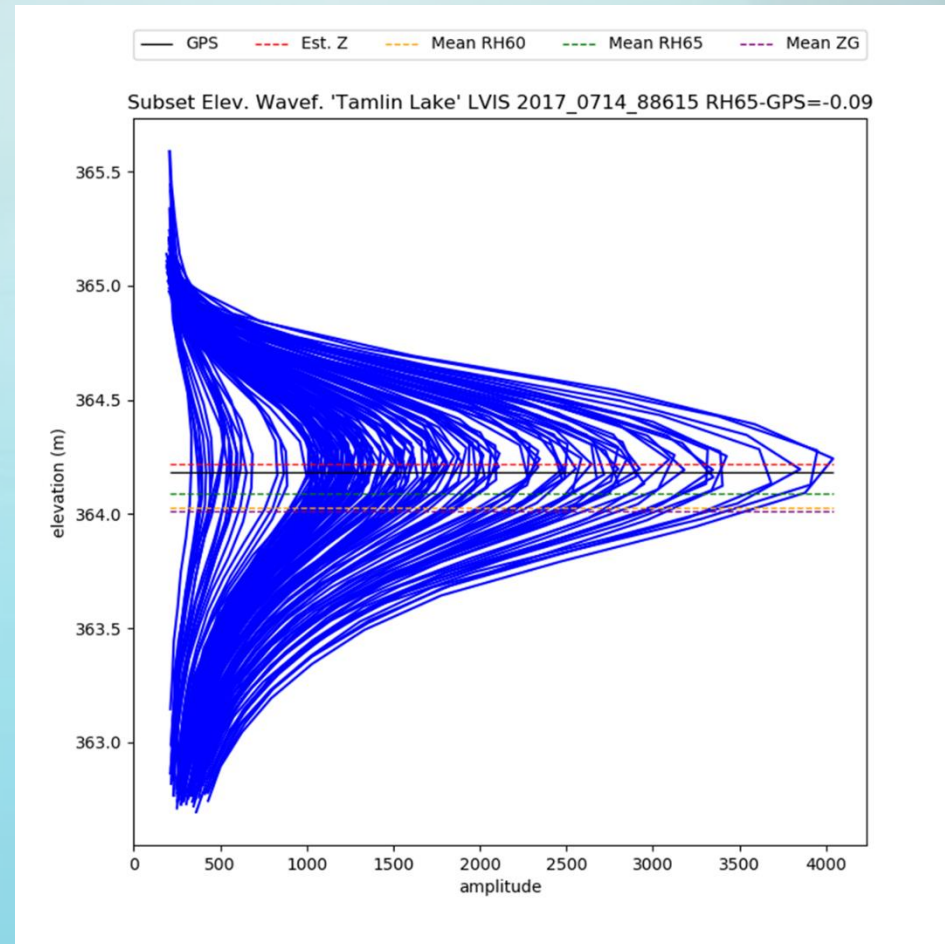
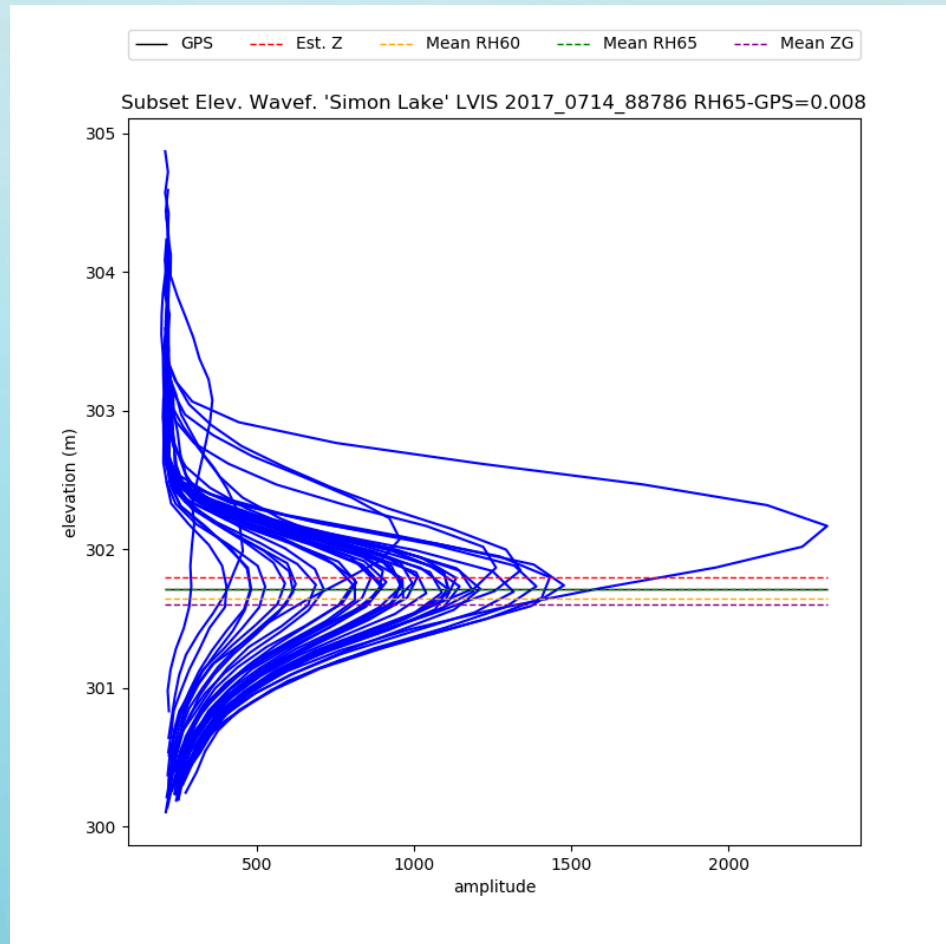


Bright sediment

Dark Water



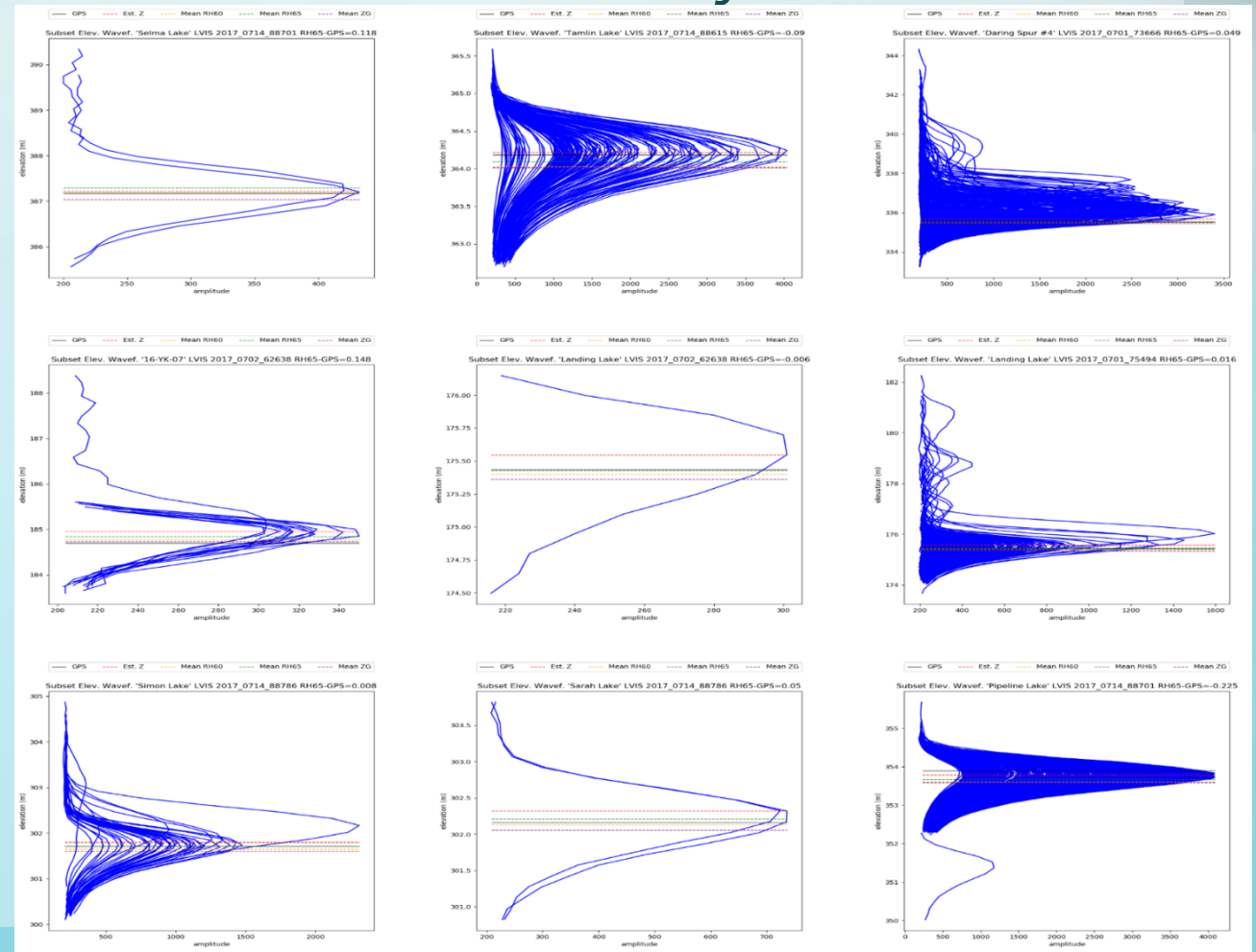
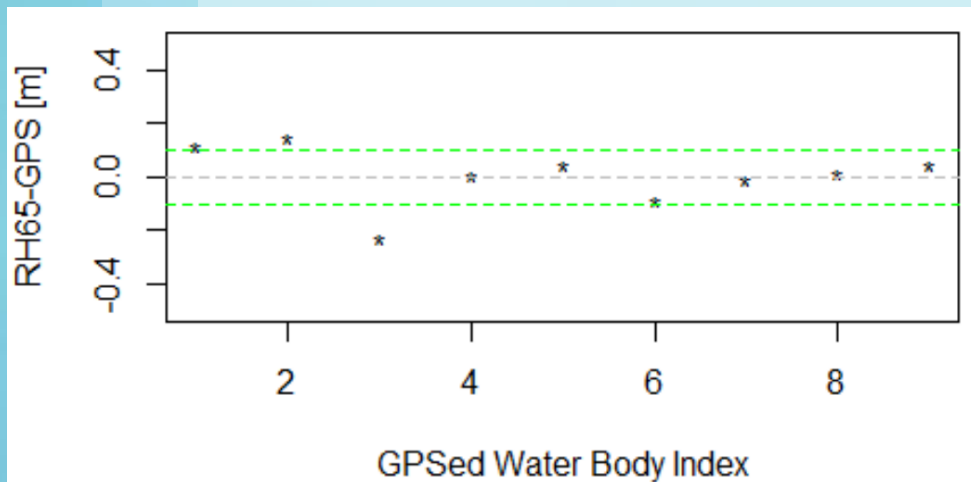
2. Results: LVIS Waveform Elevation Selection



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1. Compare GPS data to LVIS Waveform for 9 surveyed lakes

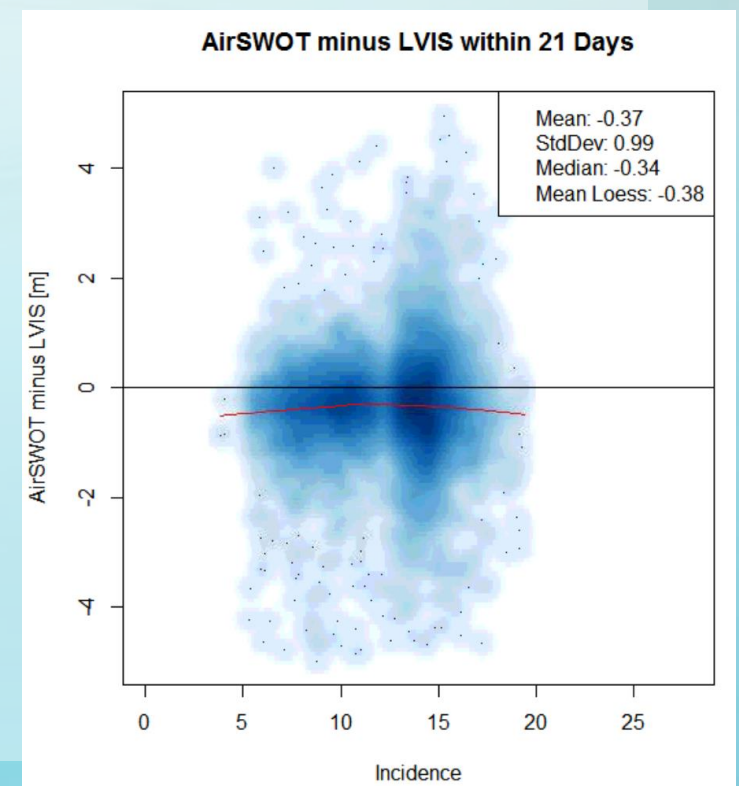
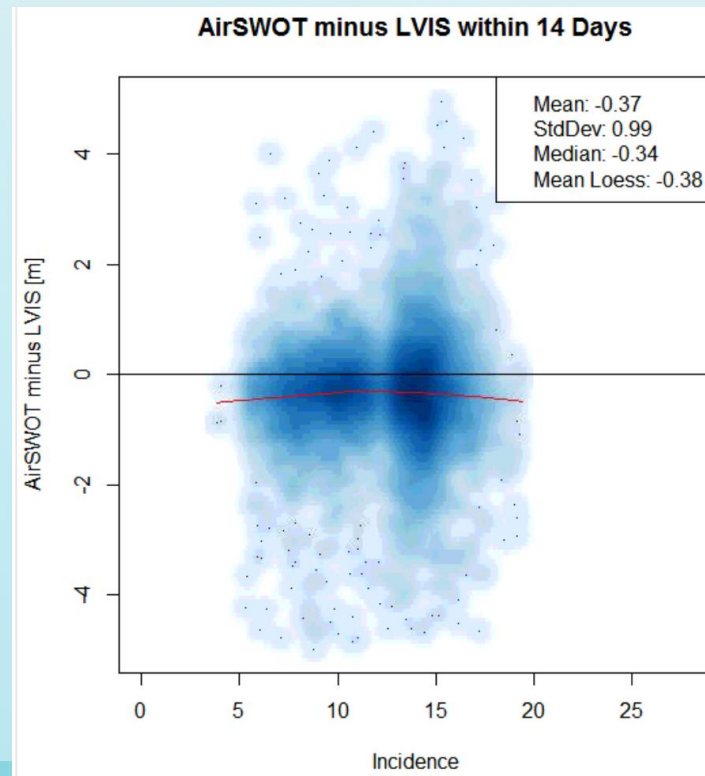
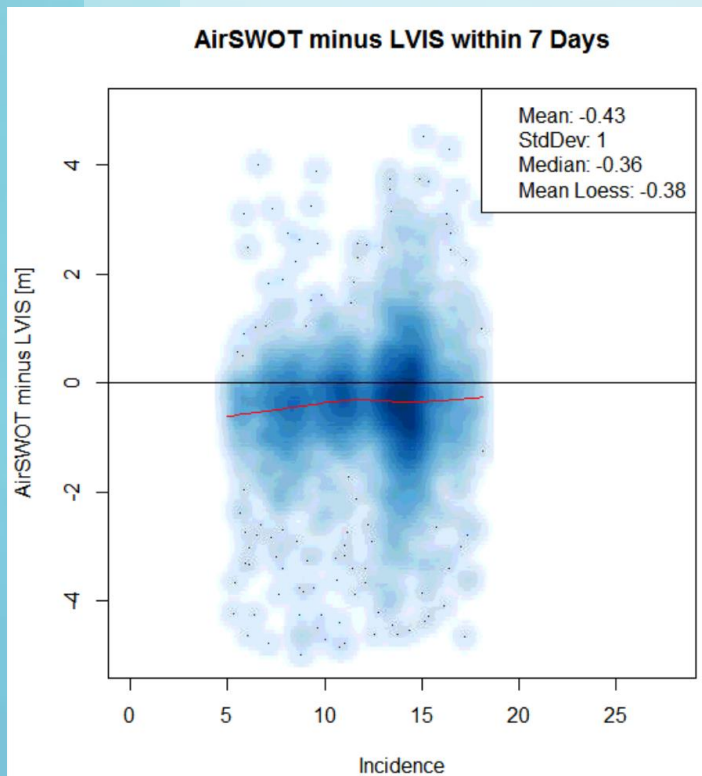
- i. The difference between RH65 energy returns and GPS is within the GPS error tolerance (0.1m)



3. Results: Compare the LVIS RH65 with AirSWOT

1. Compare LVIS RH65 with AirSWOT

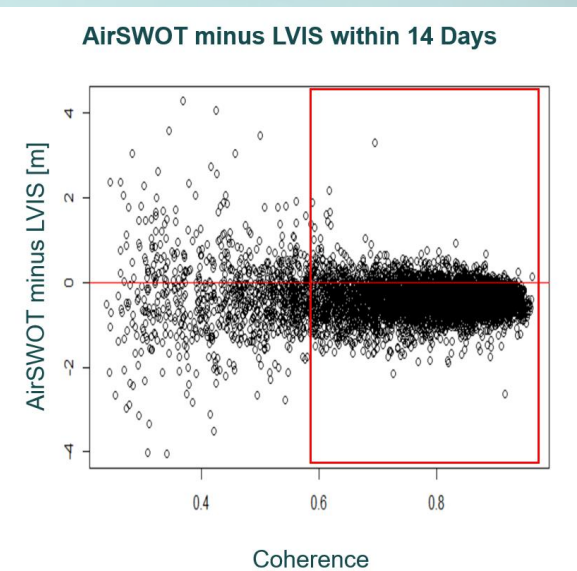
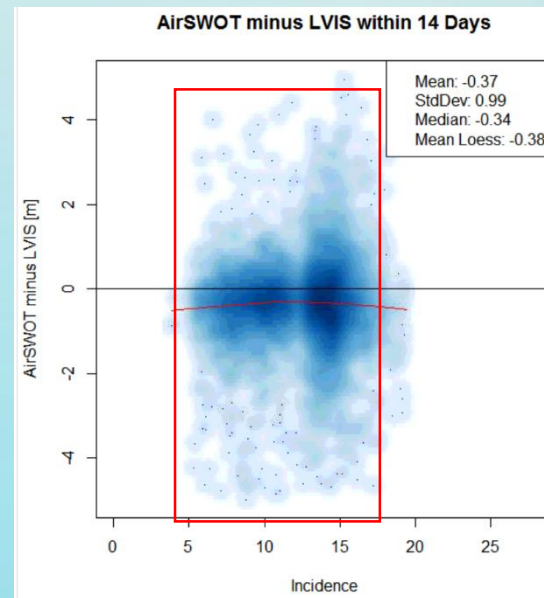
- i. Minimal Incidence Angle Dependence
- ii. Mean bias -39 cm



4. Results: Identify filtering suggestions

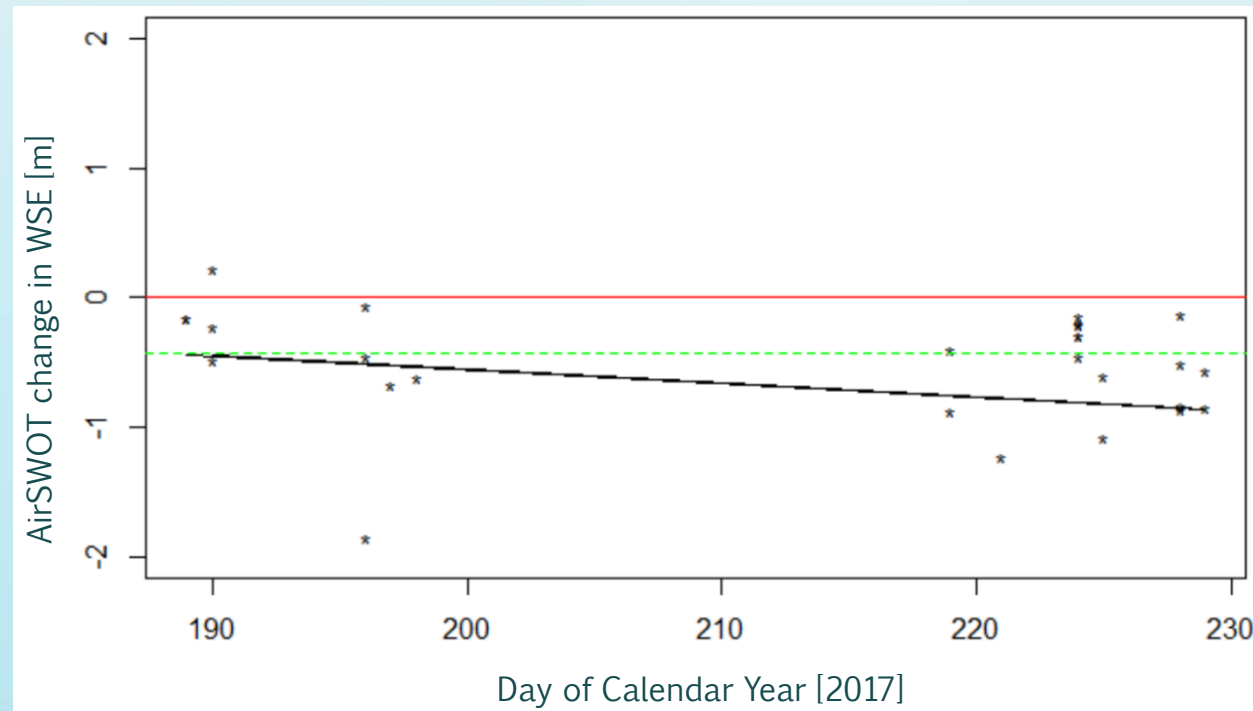
Exclude area $<150\text{m}^2$

1. Exclude Incidence
 $x < 5^\circ$ or $x > 17^\circ$
2. Exclude Coherence
 $x > 0.6$
3. Exclude Magnitude
 $x < 5$ dB
4. Exclude 'Error' (*Height Uncertainty*)
 $x > 2$ m



5. Results: Demonstrate Landscape Scale Hydrologic Drawdown

Comparing AirSWOT with LVIS over subscales allows users to Estimate landscape-scale WSE change over between July and August, 2017



Conclusion

- Arctic/sub-Arctic lakes are globally important but their fine-scale spatial and temporal dynamics are poorly understood. Preliminary pre-SWOT studies using CubeSats and AirSWOT reveal strong sub-seasonal lake changes including surprisingly dynamic (in absolute terms) lakes on the Canadian Shield
- Based on field GPS cal/val surveys and LVIS, AirSWOT WSEs appear to have a ~40-45 cm systematic bias. Work is ongoing at JPL to better understand and correct this bias.
- AirSWOT color-infrared camera imagery (CIR) is critical for assessing Ka-band water detection algorithms. Data loss from “dark water” and misclassification from “bright mud” are evident in AirSWOT data including at low, SWOT-like incidence angles.
- Because of high spatial standard deviations and data gaps, it is not currently advised to use the 2017 ABoVE AirSWOT radar data for localized study areas (<150 km²) or individual lakes. However, a broad-scale hydrological drawdowns are revealed over large areas when hundreds of lake WSEs are analyzed.

This research was funded by NASA

Special thanks to The JPL AirSWOT Radar Data Processing Group: Curtis Chen, Michael Denbina, Albert Chen, and Xiaoqing Wu

A misty, blue-toned landscape of a lake and mountains. The scene is hazy and atmospheric, with a calm body of water in the foreground reflecting the surrounding environment. The background features rolling hills and mountains, partially obscured by a thick layer of mist or fog. The overall color palette is dominated by various shades of blue and teal, creating a serene and tranquil mood. The text 'BACKUP SLIDES' is centered in the middle of the image in a dark, sans-serif font.

BACKUP SLIDES

Methods

- Assess prevalence of usable AirSWOT returns over water
 - Percent over whole swath range, recommended swath range, and near-SWOT incidence angles (outer swath AirSWOT only)
- Select the appropriate LVIS Geolocated Waveform elevation level to use as elevation reference
- Conduct pixel-pixel nearest-neighbor comparison of AirSWOT vs. LVIS
- Identify best practices for filtering and reducing WSE height errors
- Test the utility of the AirSWOT elevation for measuring landscape scale sub-seasonal hydrologic change (July and August)

Data

- **Airborne Data**

- AirSWOT Radar
 - Elevation, magnitude, incidence, coherence, dhdphi (height sensitivity), error (height uncertainty)
- AirSWOT CIR
 - Color Infrared Open Water (Kyzivat et al- In Prep)
- LVIS (Land Vegetation and Ice Sensor)
 - LiDAR geolocated waveforms (*Hofton et al 1997, 2017*)

- **Field Data**

- GPS
 - Lake Drifts and River Drags (*Pitcher et al -In Prep*)
- Pressure Transducers
 - Long-term water surface elevations (seasonal, corrected by GPS)

- **AirSWOT Processing**

- MERIT DEM (Yamazaki et al 2018), EGM1996 GEOID-15minute (NGA)
 - Explain basic processing steps (bundle adjustment corrections, automatic + manual QA, visual inspections)
- Global Surface Water (Pekel et al 2016)
 - Phase unwrapping, bundle adjustment (land adjustments)

AirSWOT in ABoVE

ARCTIC - BOREAL VULNERABILITY EXPERIMENT

The 2017 ABoVE AirSWOT data is publically available on ORNL-DAAC:

https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1646

ABoVE: AirSWOT Ka-band Radar over Surface Waters of Alaska and Canada, 2017

Overview

DOI	https://doi.org/10.3334/ORNLDAAC/1646
Project	ABoVE
Published	2019-03-29
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[User Guide](#)

Description

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Science Keywords

- TERRESTRIAL HYDROSPHERE
- SURFACE WATER
- SURFACE WATER FEATURES
- LAKES/RESERVOIRS
- RIVERS/STREAMS
- WETLANDS
- SPECTRAL/ENGINEERING
- RADAR
- RADAR BACKSCATTER
- LAND SURFACE
- TOPOGRAPHY
- TERRAIN ELEVATION

Citation

Fayne, J.V., L.C. Smith, L.H. Pitcher, and T.M. Pavelsky. 2019. ABoVE: AirSWOT Ka-band Radar



Spatial Coverage

Bounding rectangle
N: 70.49 **S: 46.85** **E: -98.63** **W: -149.83**

Temporal Coverage

2017-07-08 to 2017-08-17

ABoVE: AirSWOT Ka-band Radar over Surface Waters of Alaska and Canada, 2017

Get Data

Documentation Revision Date: 2019-03-29
 Dataset Version: 1

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The core of NASA AirSWOT is the Ka-band SWOT Phenomenology Airborne Radar (KaSPAR). Ka-band radar uses interferometry to measure surface elevation, particularly focusing on open surface water, producing novel swath water surface elevation measurements. AirSWOT collects two swaths of across-track interferometry data - between nadir and 1 km and between 1 km and 5 km, respectively - which can be used to obtain centimeter-level topographic maps of water surfaces. Only the outer-swath products are included in this release.

There are 1,547 radar output product files in GeoTIFF format provided with this dataset. This includes 768 files (128 swaths x 6 products) in original output at 3.6-m2 resolution in UTM coordinates, and 779 files (one for each ABoVE tile) provided in the ABoVE projection and clipped to the ABoVE 5-m2 C grid. A shapefile (.shp) is provided for visualization of all radar swaths with an index to the ABoVE grid files. This dataset also includes the following companion files: a *.kmz of the shapefile with an index to the ABoVE grid files, and 779 *.kml files of elevation data corresponding to the elevation product for the ABoVE grids.

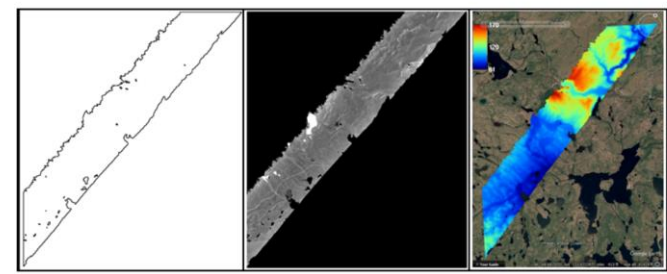
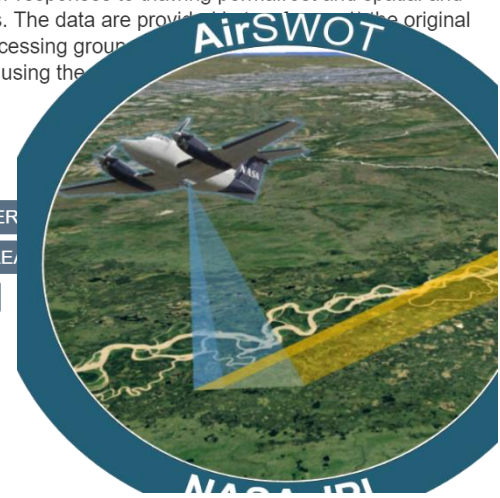


Figure 1. Example of AirSWOT radar products in ABoVE Projection at 3.6 m2 resolution, for a flight over the ABoVE C grid Ch065v034. Left: Shapefile for backscatter image. Middle: The magnitude image shows bright reflection in the near range, and no returns - yielding regions of no data in the far range. Right: Elevation product image.



[Download citation from Datacite](#)

ABOVE: AirSWOT Color-Infrared Imagery Over Alaska and Canada, 2017

Get Data

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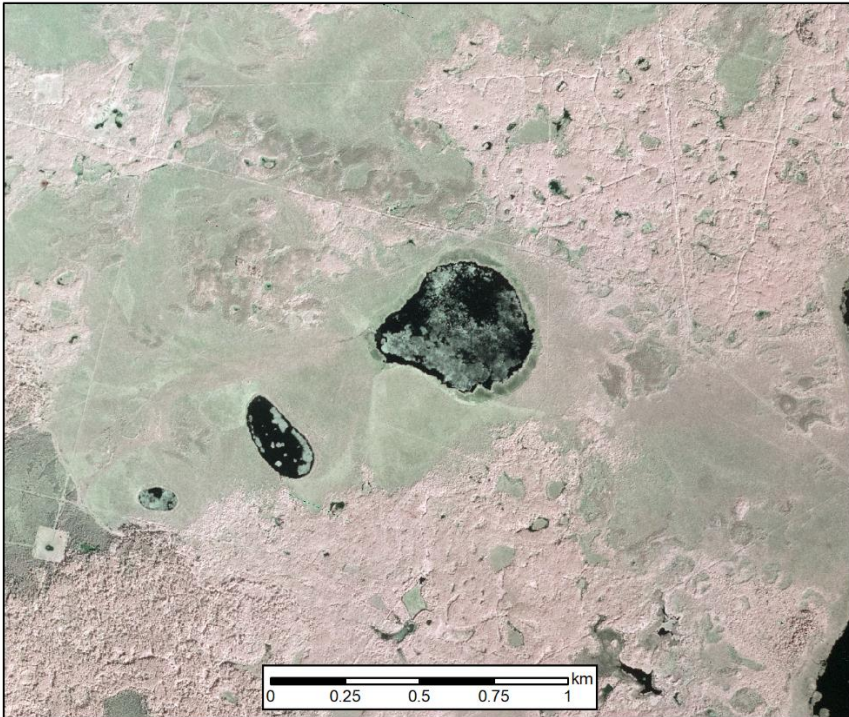
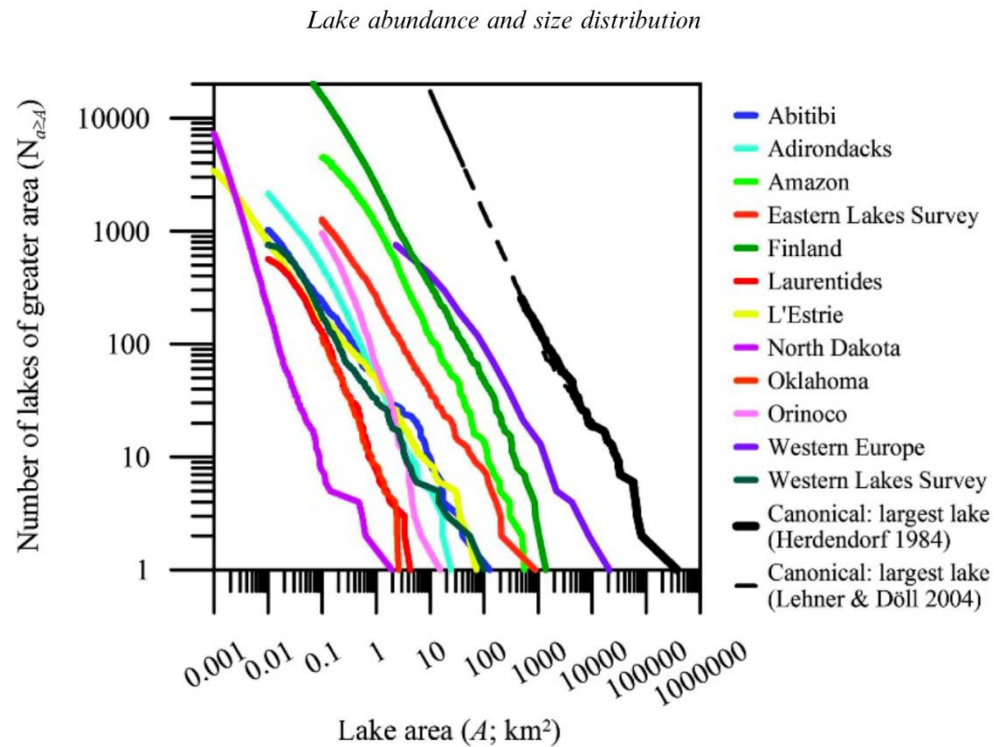


Figure 1. This figure shows open surface waters (black areas) for a location northwest of Fort Saskatchewan, Canada. This image for ABOVE grid ch078v097 was acquired July 9, 2017.

AirSWOT color-infrared imagery

- 1m pixel size with georeferencing accuracy assessed for each image
- Covers 38% of foundational flight lines
- 3,167 km² of open water mapped
- Water mask dataset to be posted
- <https://doi.org/10.3334/ORN/LDAAC/1643>

Lake size distributions follow power-law relationships



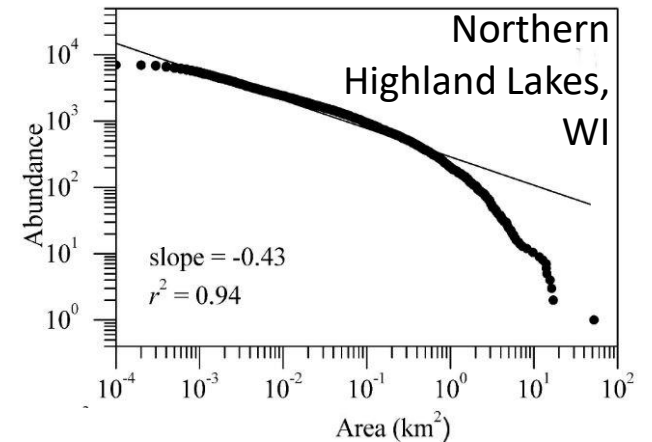
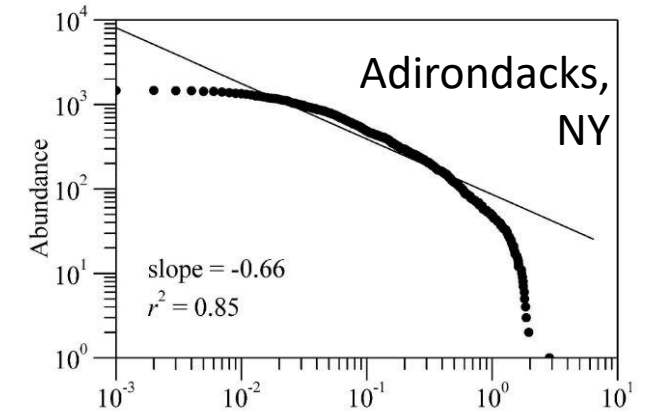
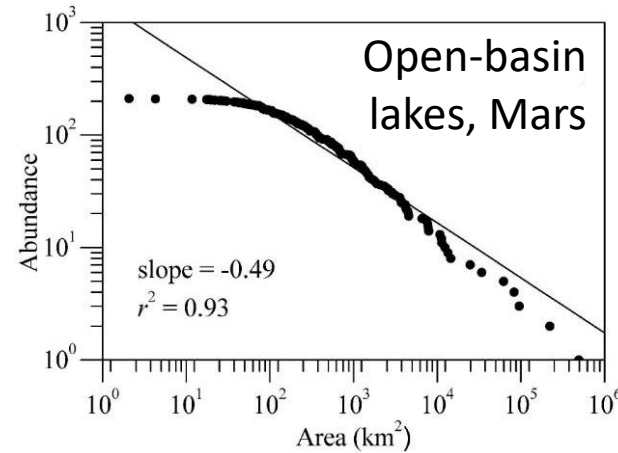
- From a global dataset of lakes larger than 0.001 km^2 (0.1 ha)
- Power law evident as straight line on log-log CDF

Fig. 4. Plots of data on the axes implied by Eq. 3. Statistical fits of Eq. 3 to these data are shown in Table 1. Data are only plotted throughout the range of lake sizes that could be reasonably expected to be comprehensively censused using the resolution of GIS coverages available (see Table 1). The black lines represent canonical (complete) censuses of world lakes (Herdendorf 1984; Lehner and Döll 2004).

Downing et al. 2006, *L&O*

Lake size distributions follow power-law relationships

- Lake sizes follow an exponential-like distribution on Earth and Mars!
- Regional differences
- Different regime for small areas

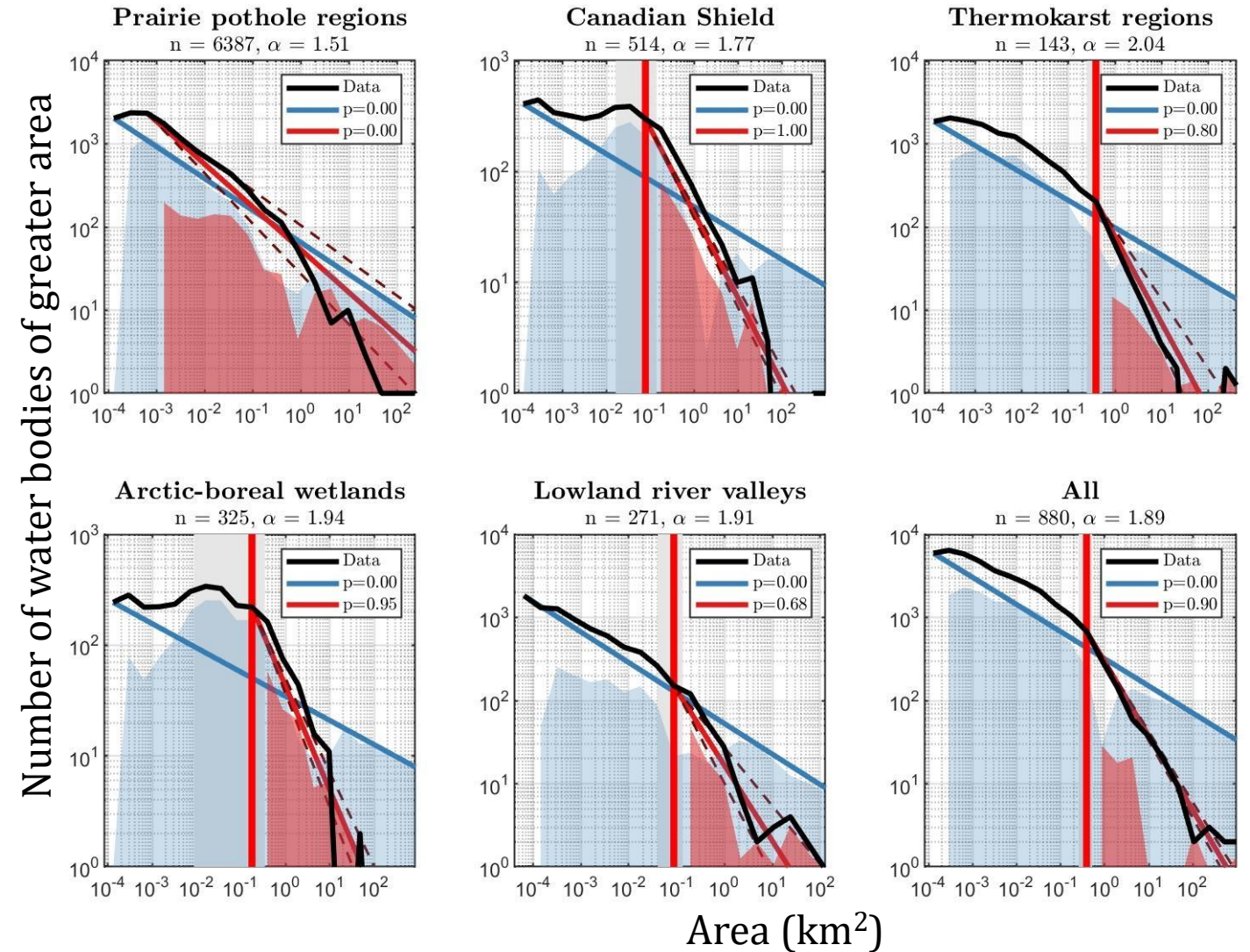


Seekell and Pace 2011, *L&O*
Fasset and Head 2008, *Icarus*

AirSWOT CIR Power laws vary by physiographic region

(E. Kyzivat, in prep)

- All categories except prairie pothole lakes could fit a power law over a portion of their area domains
- For the entire dataset, this regime begins at $0.34 \pm 0.13 \text{ km}^2$



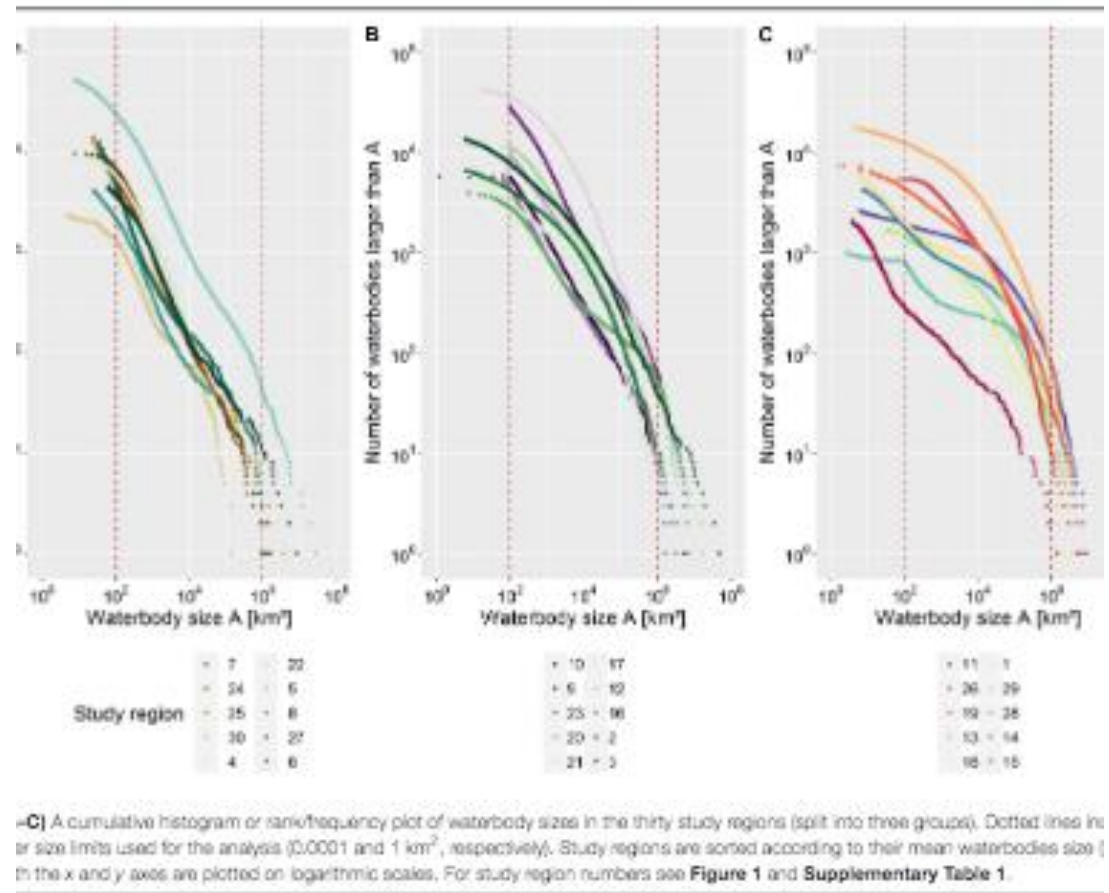
AirSWOT CIR high-resolution water maps modify previous findings

Size Distributions of Arctic Waterbodies Reveal Consistent Relations in Their Statistical Moments in Space and Time

Sina Muster^{1*}, William J. Riley², Kurt Roth³, Moritz Langer^{4,5}, Fabio Cresto Aleina⁶, Charles D. Koven², Stephan Lange¹, Annett Bartsch^{6,7}, Guido Grosse^{1,8}, Cathy J. Wilson⁹, Benjamin M. Jones¹⁰ and Julia Boike^{1,4}

¹ Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Potsdam, Germany, ² Lawrence Berkeley National Laboratory, Berkeley, CA, United States, ³ Institute for Environmental Physics, University of Heidelberg, Heidelberg, Germany, ⁴ Geography Department, Humboldt University of Berlin, Berlin, Germany, ⁵ Max Planck Institute for Meteorology, Hamburg, Germany, ⁶ Austrian Polar Research Institute, Vienna, Austria, ⁷ b.gos, Korneuburg, Austria, ⁸ Institute for Earth and Environmental Science, University of Potsdam, Potsdam, Germany, ⁹ Los Alamos National Laboratory, Los Alamos, NM, United States, ¹⁰ U.S. Geological Survey – Alaska Science Center, Anchorage, AK, United States

Muster et al. 2019, *Frontiers in Earth Sci.*



Preliminary Conclusions (E. Kyzivat)

1. An object-based, connectivity-preserving water classification is suitable for identifying open water pixels to extract WSEs from imagery
2. There is no regionally-consistent size-area distribution for global lakes and ponds.
3. The global number and size of lakes and ponds is still unknown and must be determined empirically.
4. Methane models should make use of high-resolution water distribution metrics.





Integrating ABoVE airborne datasets and field campaigns to identify hotspots of surface water inundation and carbon flux across Arctic-Boreal ecosystems



PI: David Butman, University of Washington, Seattle WA; Co Is: Tamlin Pavelsky University of North Carolina, Chapel Hill, NC; Larry Smith University of California, Los Angeles, CA; Rob Spencer, Florida State University, Tallahassee, FL; Robert Striegl, U.S. Geological Survey, Boulder, CO; Kimberly Wickland, U.S. Geological Survey, Boulder, CO

New Phase 2 ABoVE project (led by David Butman, UW) will have a CH4 focus...

Introduction and Rational: Inland waters represent greater than 3% of the total continental surface of the pan-Arctic. High latitude lake ecosystems are estimated to be net sources of atmospheric carbon dioxide (CO₂), releasing between 74-347 Tg-C yr⁻¹ (Hastie et al. 2018). For the boreal region, this emission has been postulated to be one of the largest carbon fluxes from northern latitude aquatic environments (Hastie et al. 2018). Arctic-boreal regions maintain one of the largest pools of legacy carbon susceptible to mineralization due to changing climate conditions (Schuur et al. 2015, Olefeldt et al. 2016). The input of carbon to aquatic systems requires hydrologic connectivity, whether across the surface or within the subsurface. Aquatic boundaries are not static in space or time. Lake areas and perimeters can change annually (Rover et al. 2011) and seasonally (Cooley et al. 2017); both may be influenced by the underlying distribution of permafrost soils (Karlsson et al. 2015). *The magnitude and extent of seasonally inundated lands remains unknown, and we hypothesize that the region of regularly inundated soils as well as terrestrial soils inundated during transitory events are hotspots for the cycling of carbon and represent a component of the landscape highly vulnerable to change.*

Science Objectives:

O1: Utilize UAVSAR, AirMOSS, AirSWOT, LVIS, and AVIRIS-NG to identify inundation extent and water surface elevation across northern latitude lake ecosystems.

O2: Identify and measure the connectivity of terrestrial ecosystems to lake ecosystems with changing inundation extent and quantify the signature and concentrations of terrestrial and aquatic carbon sources.

O3: Quantify the fluxes of CO₂ and CH₄ to the atmosphere across a gradient from unsaturated to saturated soils and vegetation.

Tier 2 Science Questions: This proposal addresses questions 3.4, 3.5 and 3.6 focusing on the intersection of changing hydrology, species composition, and carbon cycling across both terrestrial and aquatic domains.

Impacts on ABoVE Science:

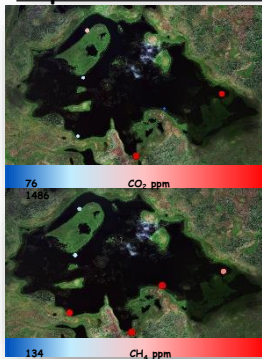


Figure 3. Canvasback Lake CO₂ and CH₄ Concentrations summer 2018

We will directly measure both the CO₂ and CH₄ flux from these potential hotspots in direct collaboration with ABoVE2 project "Characterizing Microtopographic Hot-spots and Landscape-scale Methane Emissions Across the ABoVE Domain" PIs, C. Miller, K. Walter Anthony, and C. Elder

Location matters when considering the role that water plays in the cycling of carbon in Arctic-boreal landscapes. Lakes historically have been thought of as atmospheric carbon sources, but results from ABoVE1 within the Yukon Flats National Wildlife Refuge (YFNWR) indicate that open waters remain undersaturated throughout the open water season (Bogard et al Nature Geoscience 2018), furthermore, lakes are highly variable.

CO₂ and CH₄ concentrations can differ by orders of magnitudes from the littoral zone to areas of open water. Measurements from ABoVE 1 suggest that the littoral zone - or the area of inundation maintains high concentrations of carbon gases. **Are these hotspots within**



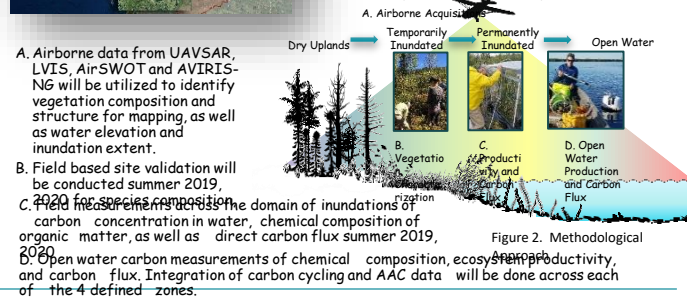
Figure 4: 12-Mile Lake in the Yukon Flats National Wildlife Refuge recently inundated forested land from ice jams on the Yukon River (D. Butman)

Methodology



Airborne and field data collections are designed to vary from low terrestrial-aquatic connectivity through the hydrologic systems (Yukon Flats National Wildlife Refuge) to high hydrologic connectivity (Peace Athabasca Delta)

Sporadic permafrost exists in the Yukon Flats. The Peace Athabasca Delta has extreme patterns of inundation and evaporation each year. The Yellowknife - Daring Lake system sits within the Canadian shield, with shallow surface and ground water flow paths, has sporadic permafrost



Beyond Phase I:

ABoVE2 will build on NESSF recipient Catherine Kuhn's findings that GPP scales across Airborne and satellite remote sensing platforms with the potential to scale to the ABoVE domain (Figure 5).

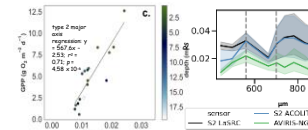


Figure 5: Correlation between Gross Primary Production derived from estimate of ecosystem metabolism from ¹⁸O, and the OLI Band 3 (Green). Points colored by depth, (Kuhn et al. in prep)

Results from ABoVE2 will include high resolution and field validated maps of inundation extent, and carbon flux derived from data presented in Figure 6. Further collaboration and validation of AirSWOT will be included with this effort.

Anticipated results include:

- 1. Baseline assessments of the potential importance of inundated lands for the scaling of terrestrial and aquatic fluxes of carbon controlled by hydrologic variability.
- 2. Comprehensive chemical and flux dataset in underrepresented regions for boreal/arctic monitoring of aquatic systems.
- 3. Strong and lasting collaboration between USFWS, ECCO, NWT and Canadian Academic partners focused on management of changing hydrology in arctic systems.

Collaborating: Mark Bertram, U.S. Fish and Wildlife Service, Fairbanks, AK; Dr. Daniel Peters, Environment & Climate Change Canada; Mr. Bruce Hanna, Government of the NWT; Dr. Sherry Schiff, University of Waterloo; Dr. Charles Miller, NASA J.P.L; Dr. Clayton Elder, NASA J.P.L; Dr. Colin Gleason, University of Massachusetts, Amherst.

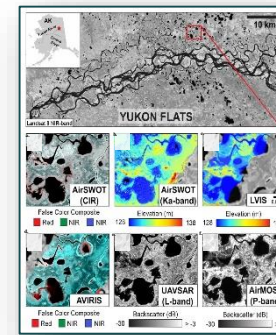


Figure 6: Example datasets used within this proposal. The Yukon Flats are depicted here.